

Incremental Construction of Minimal Finite State Automata

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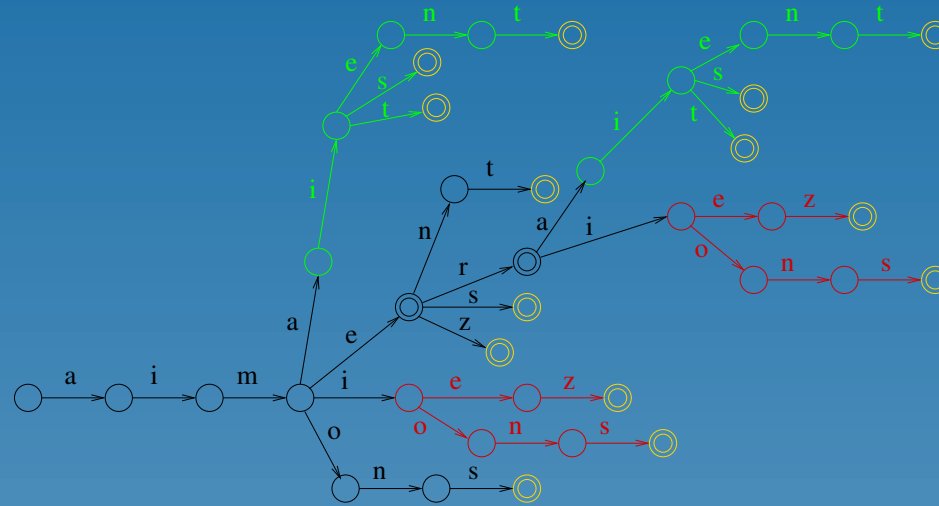
Overview

- Incrementality and semi-incrementality
- Trie construction, minimization, synchronization
- Incremental algorithm for sorted data
- Unsorted data and confluence states
- Incremental algorithm for unsorted data
- Performance

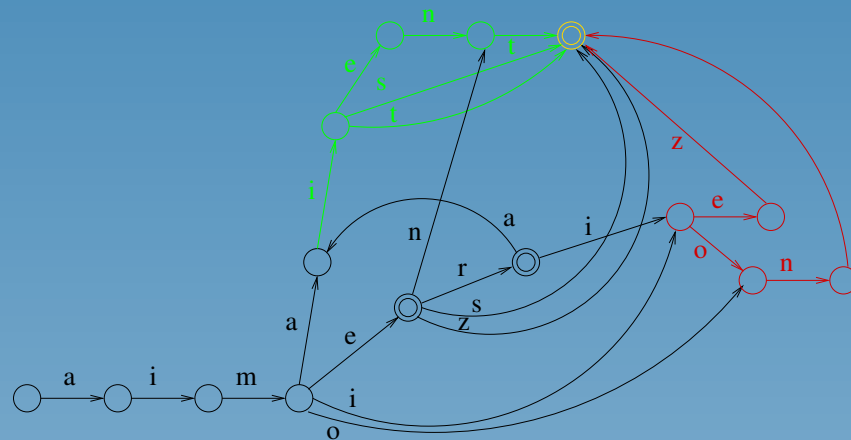
Incrementality and automata

- Final automata
 - ◇ ideal implementation of dictionaries
 - ◇ very efficient once constructed
 - ◇ traditional construction needs much memory
- Incremental and semi-incremental construction requires less memory
- Moore's law

Traditional construction

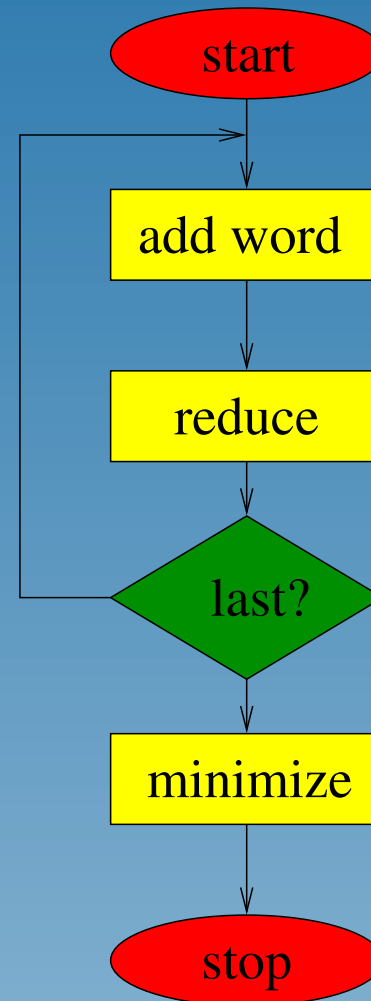
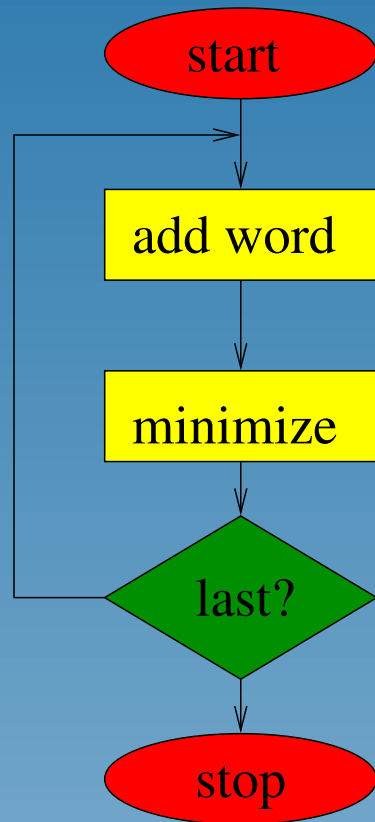


- Construct a trie



- Minimize it

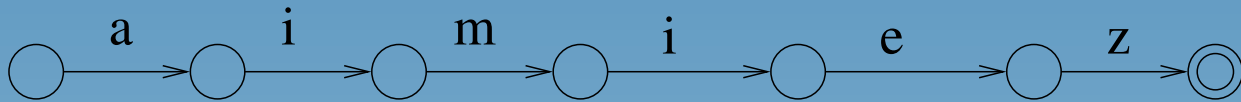
Incremental vs. semi-incremental construction algorithms



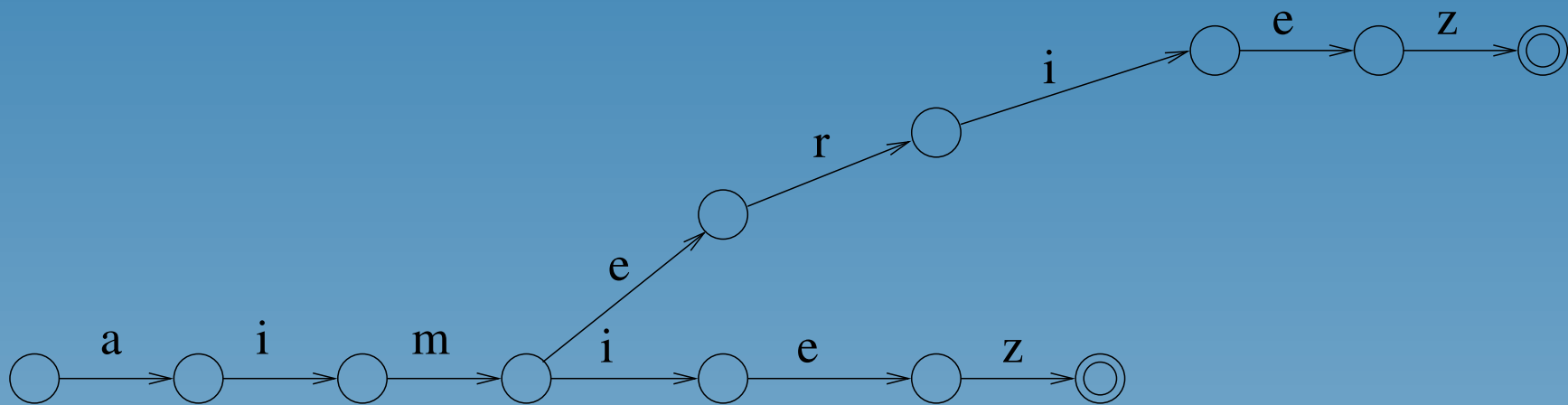
Incremental and semi-incremental algorithms for acyclic automata

- Incremental algorithm for (lexicographically) sorted data (Daciuk, Mihov, Ciura, Deorowicz)
- Incremental algorithm for unsorted data (Aoe, Morimoto, Hase, Sgarbas, Fakotakis, Kokkinakis, Daciuk, Watson, Revuz...)
- Semi-incremental algorithm for data lexicographically sorted on reversed strings (Revuz)
- Semi-incremental algorithm for data sorted on decreasing length of strings (Watson)

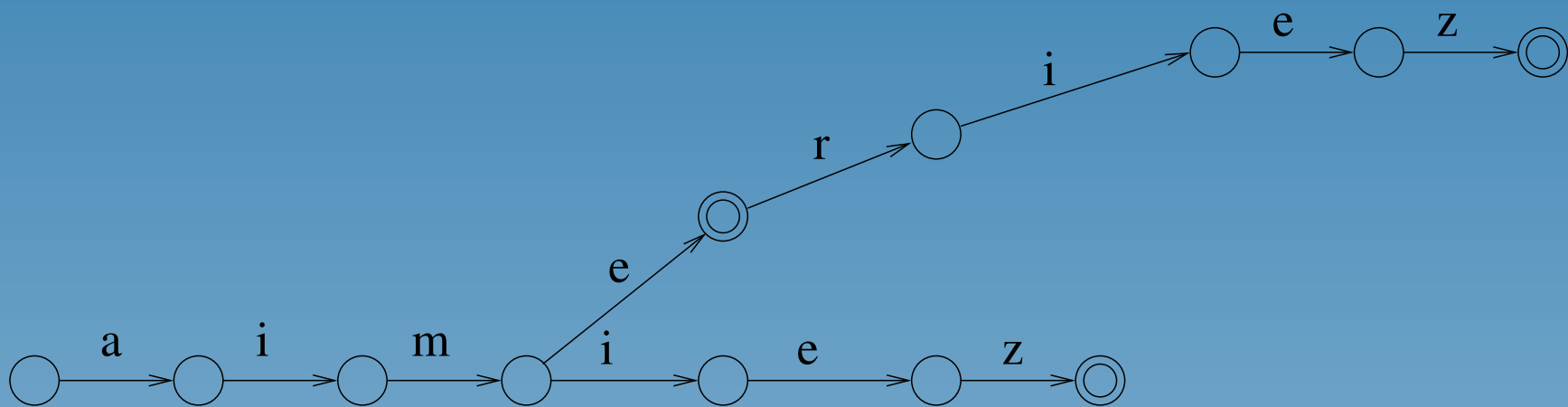
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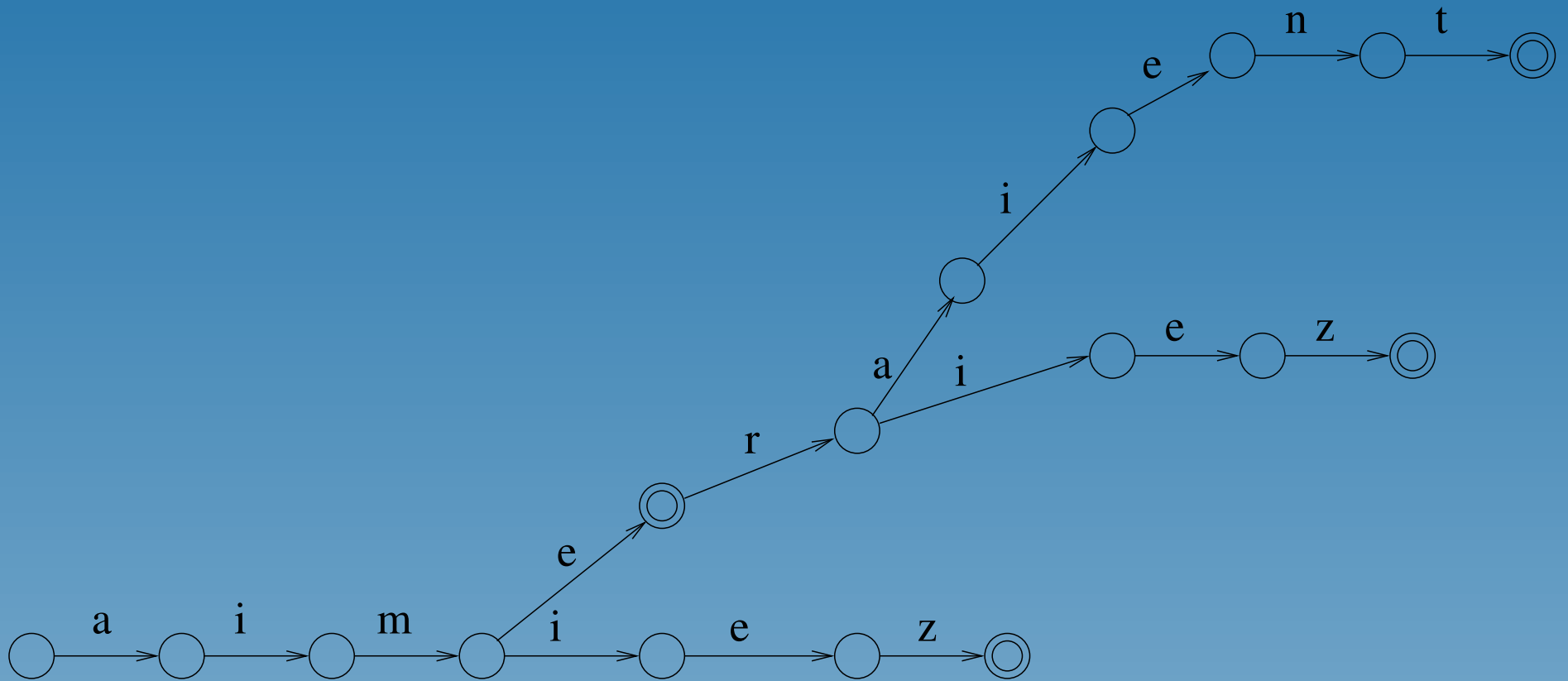
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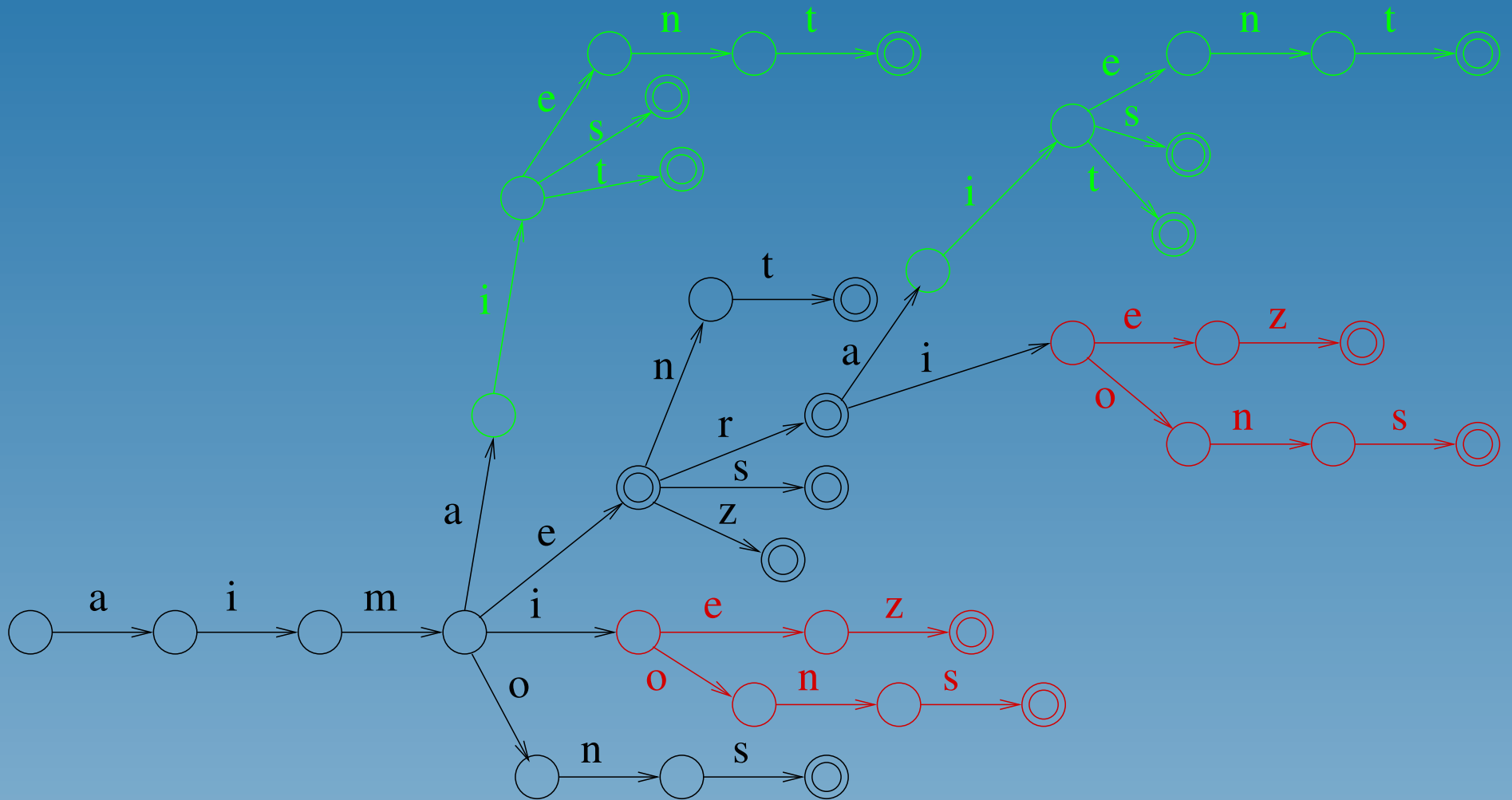
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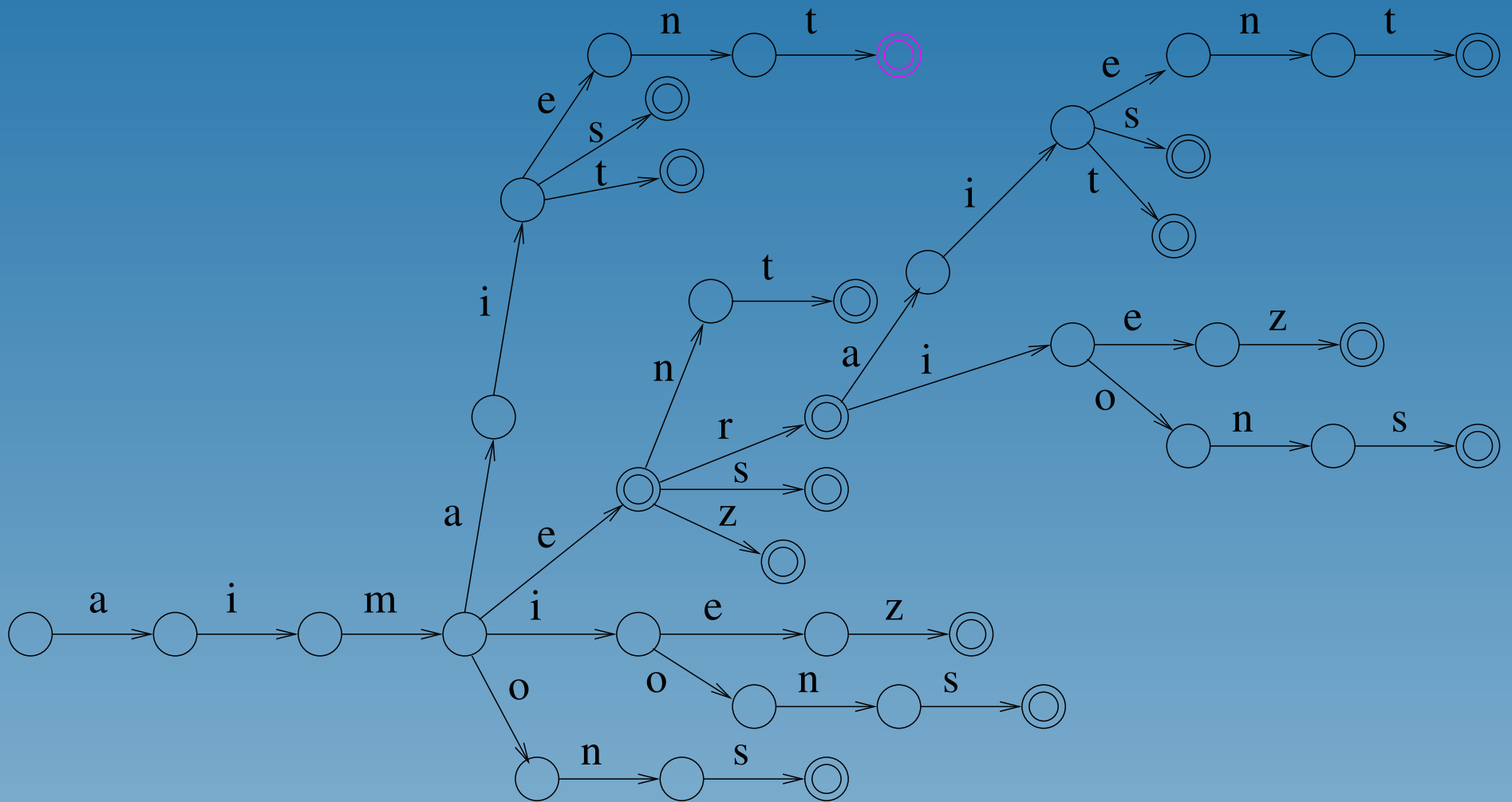
What is actually minimization?

- $M = (Q, \Sigma, \delta, q_0, F)$, $|M| = |Q|$
- M is minimal iff $\forall M': \mathcal{L}(M') = \mathcal{L}(M) \quad |M| < |M'|$
- $\vec{\mathcal{L}}(q) = \{w : \delta^*(q, w) \in F\}$, $\mathcal{L}(M) = \vec{\mathcal{L}}(q_0)$
- $p \equiv q$ iff $\vec{\mathcal{L}}(p) = \vec{\mathcal{L}}(q)$.
- M is minimal iff $\forall p, q \in Q \quad p \equiv q \Leftrightarrow p = q$
- $\vec{\mathcal{L}}(q) = \cup_{a: \delta(q, a) \neq \perp} a \vec{\mathcal{L}}(\delta(q, a)) \cup \begin{cases} \emptyset & q \notin F \\ \epsilon & q \in F \end{cases}$

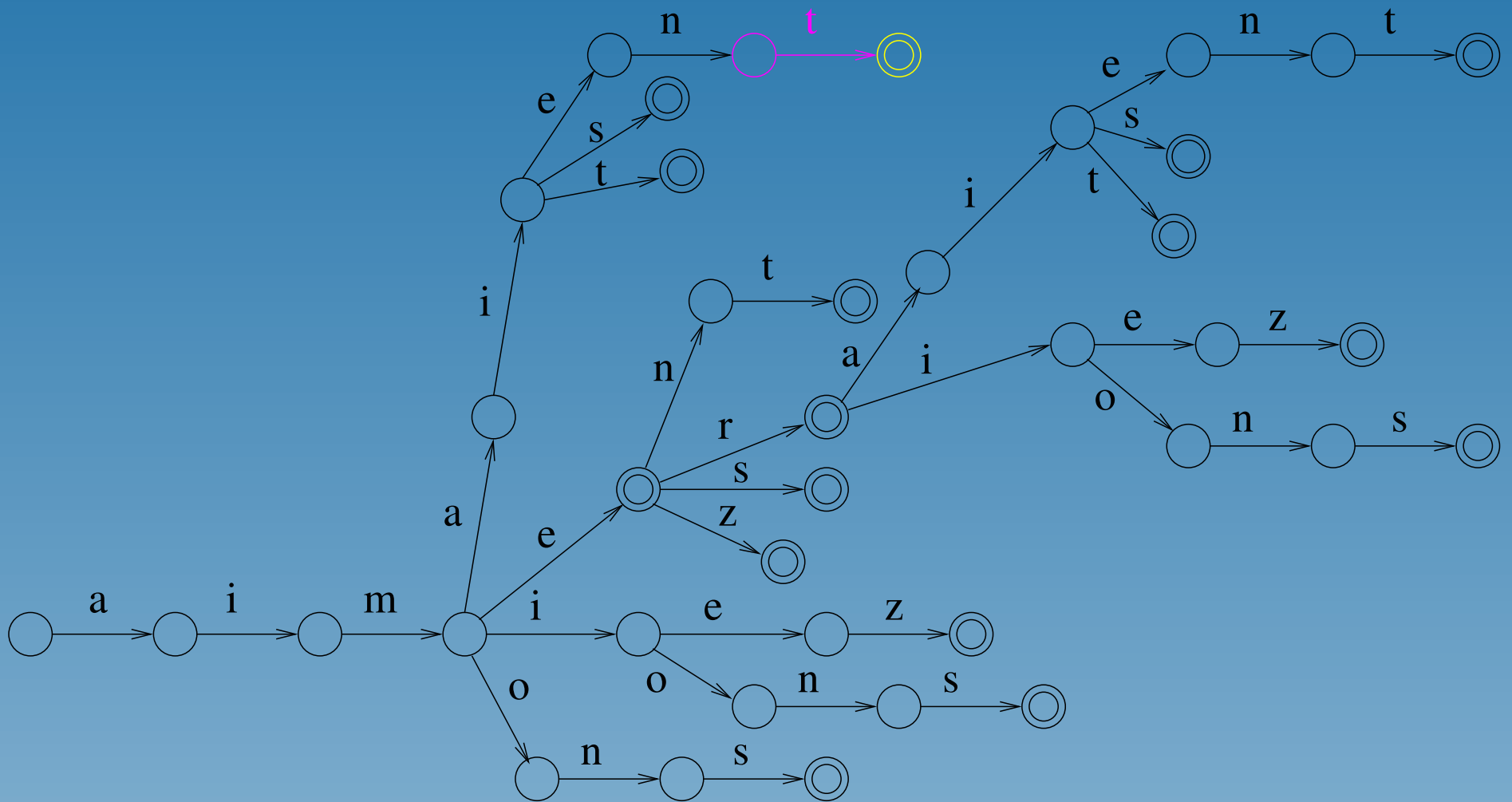
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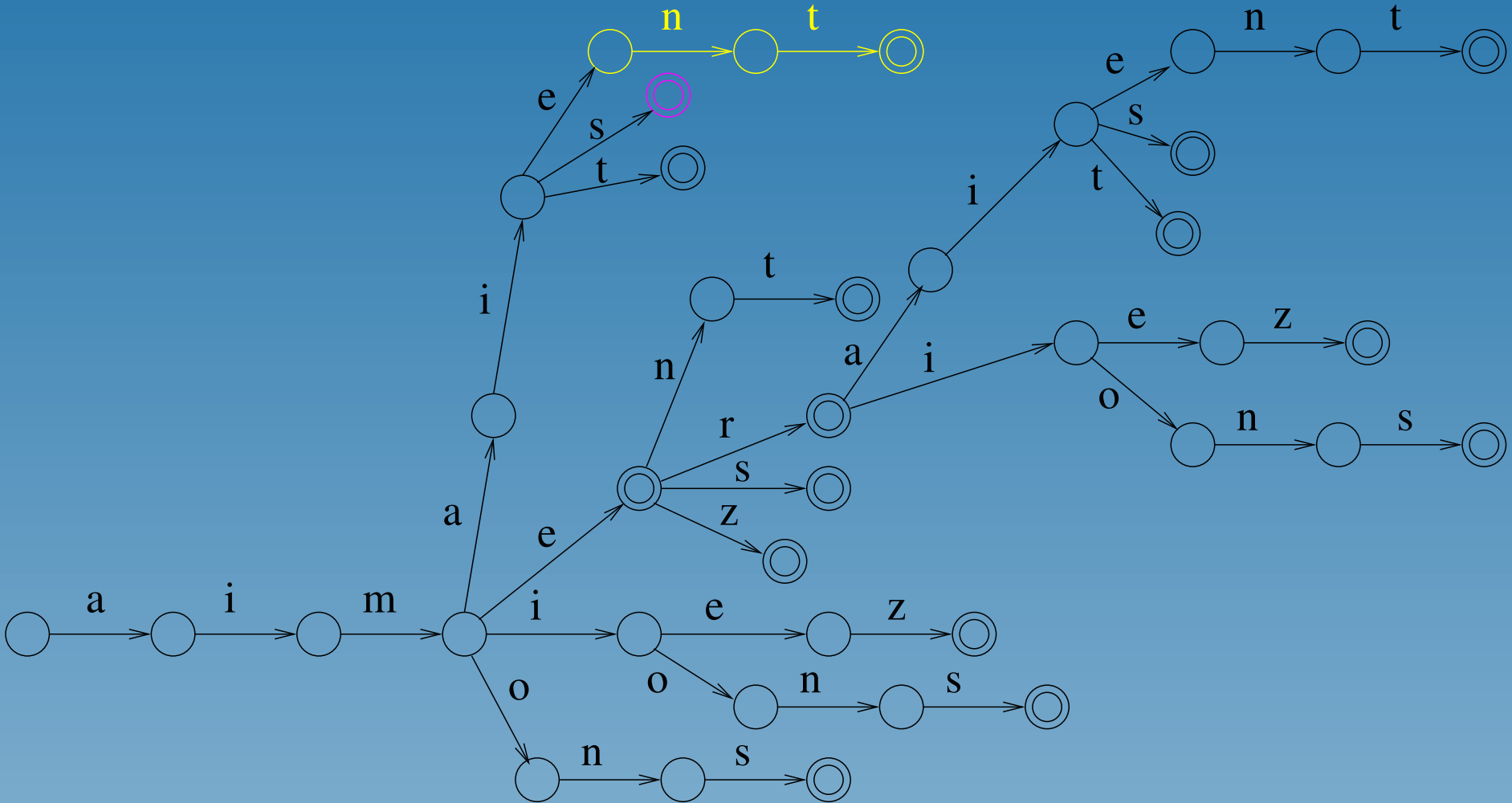
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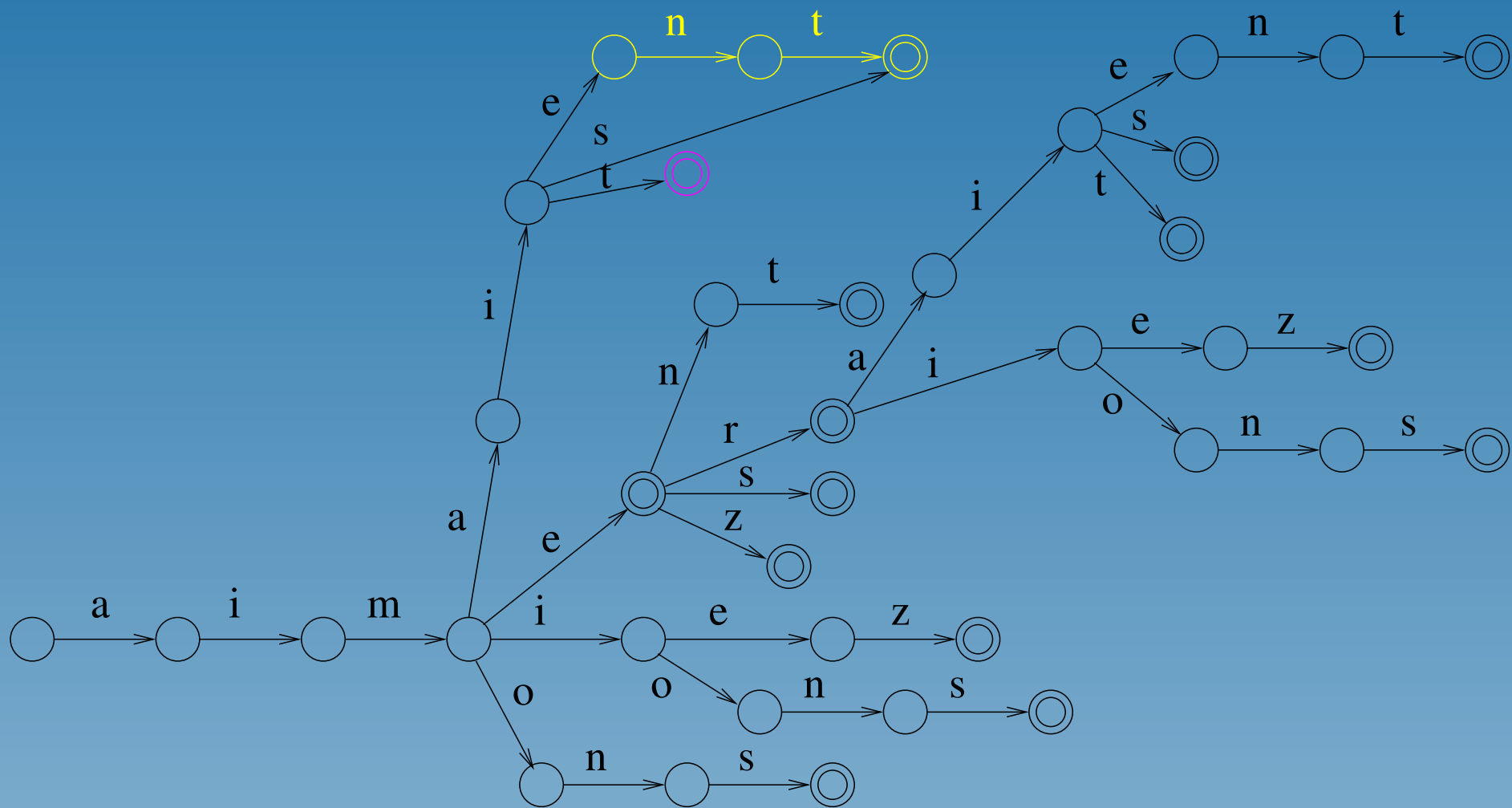
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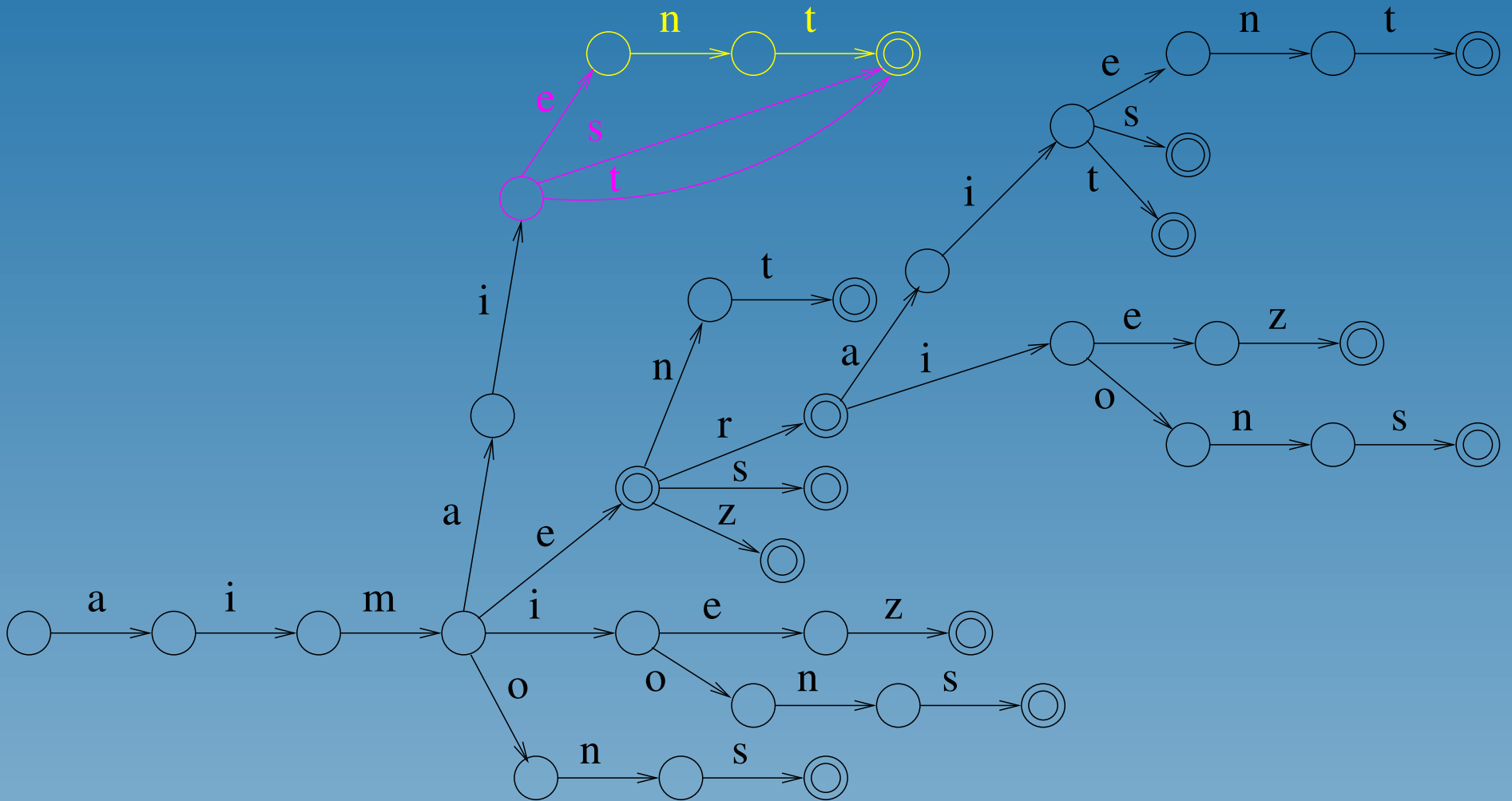
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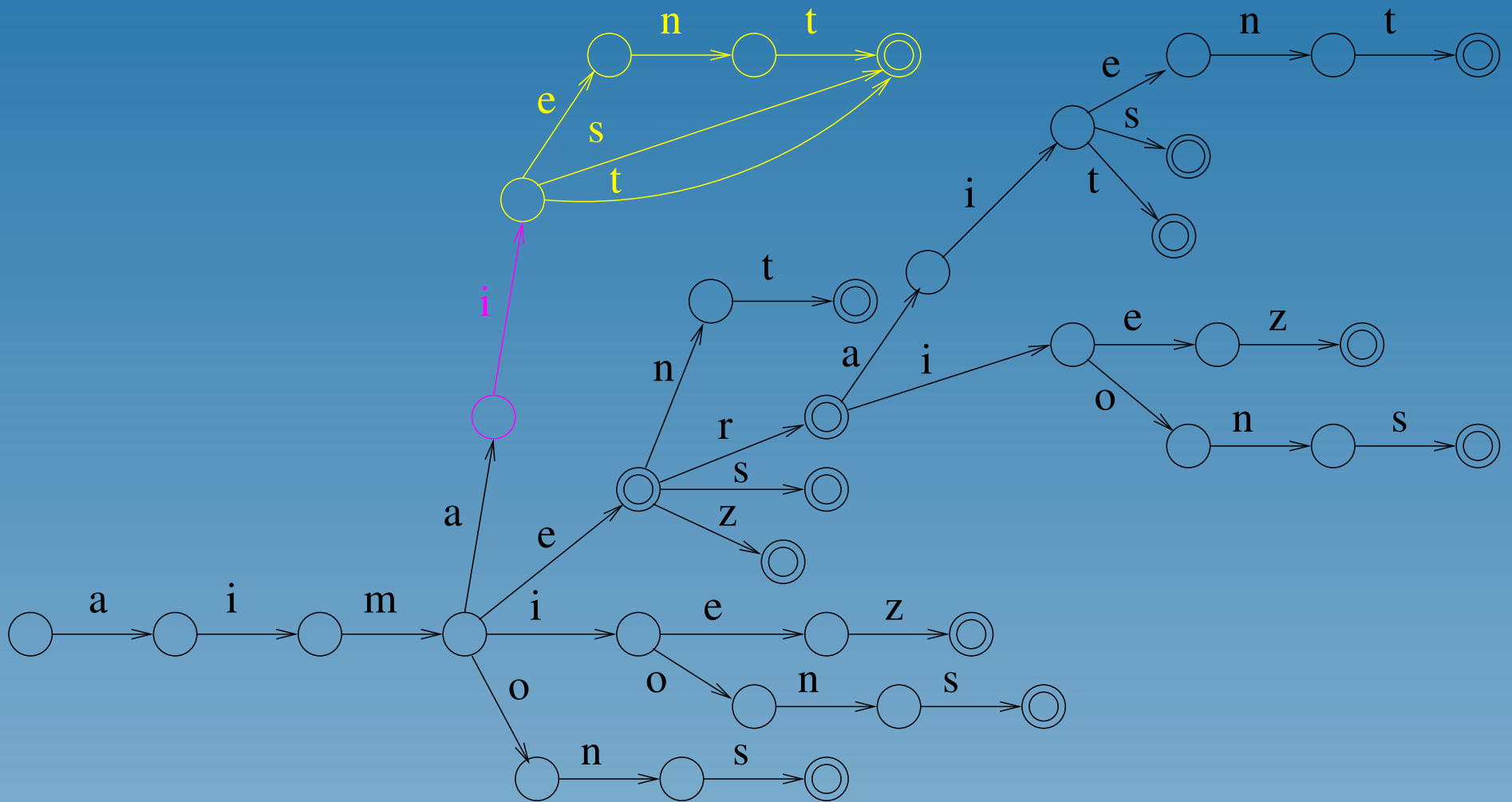
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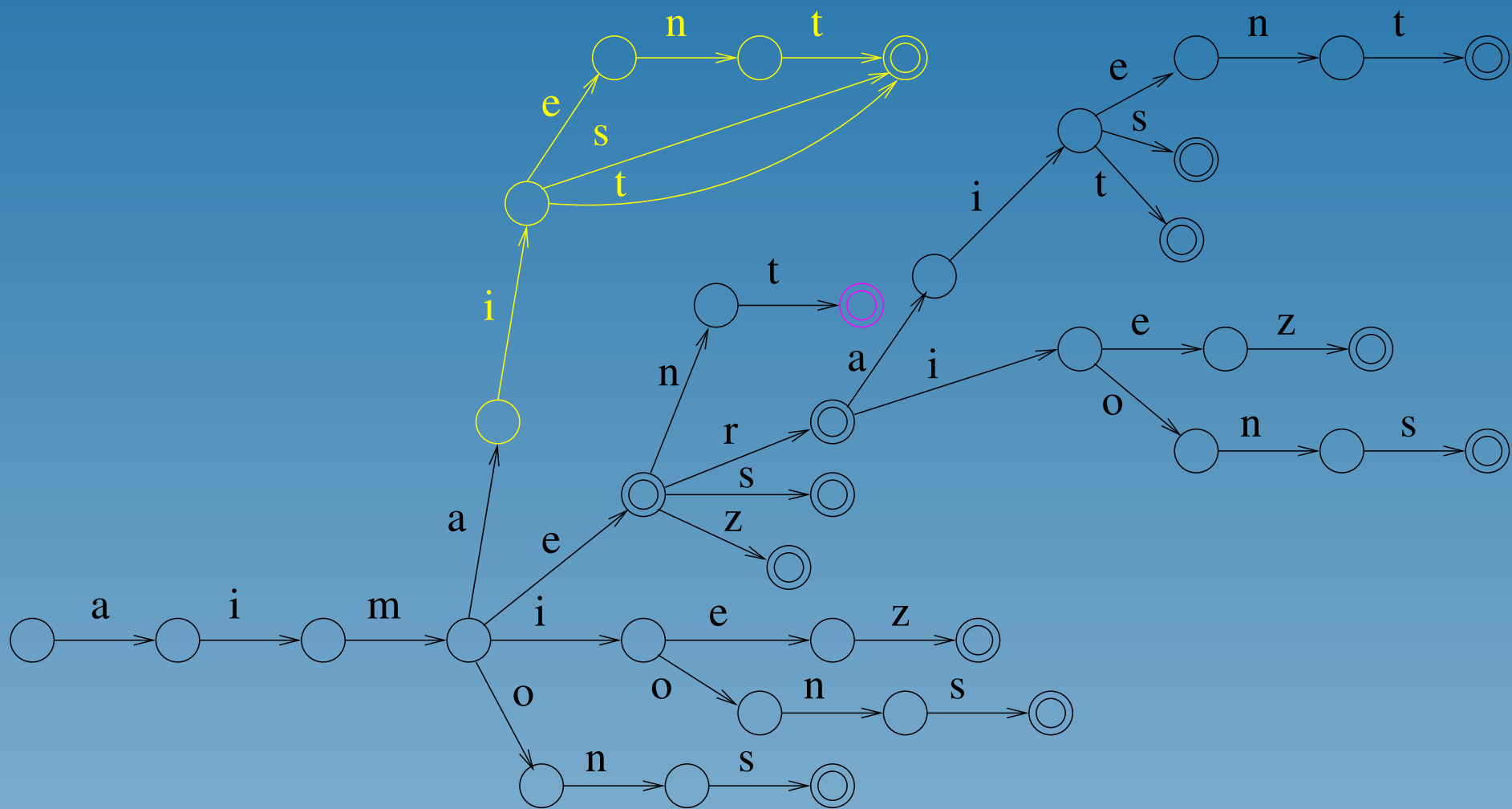
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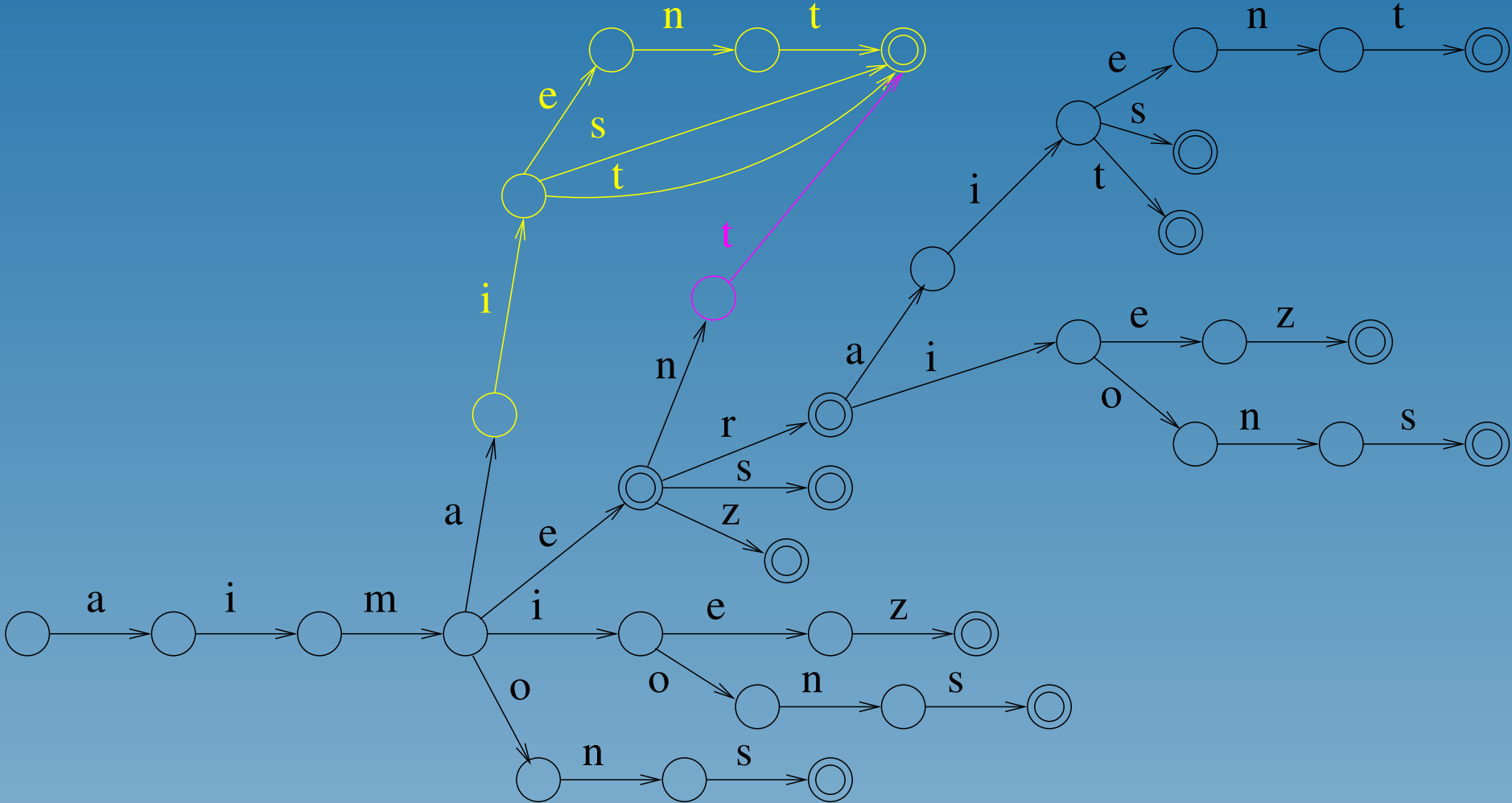
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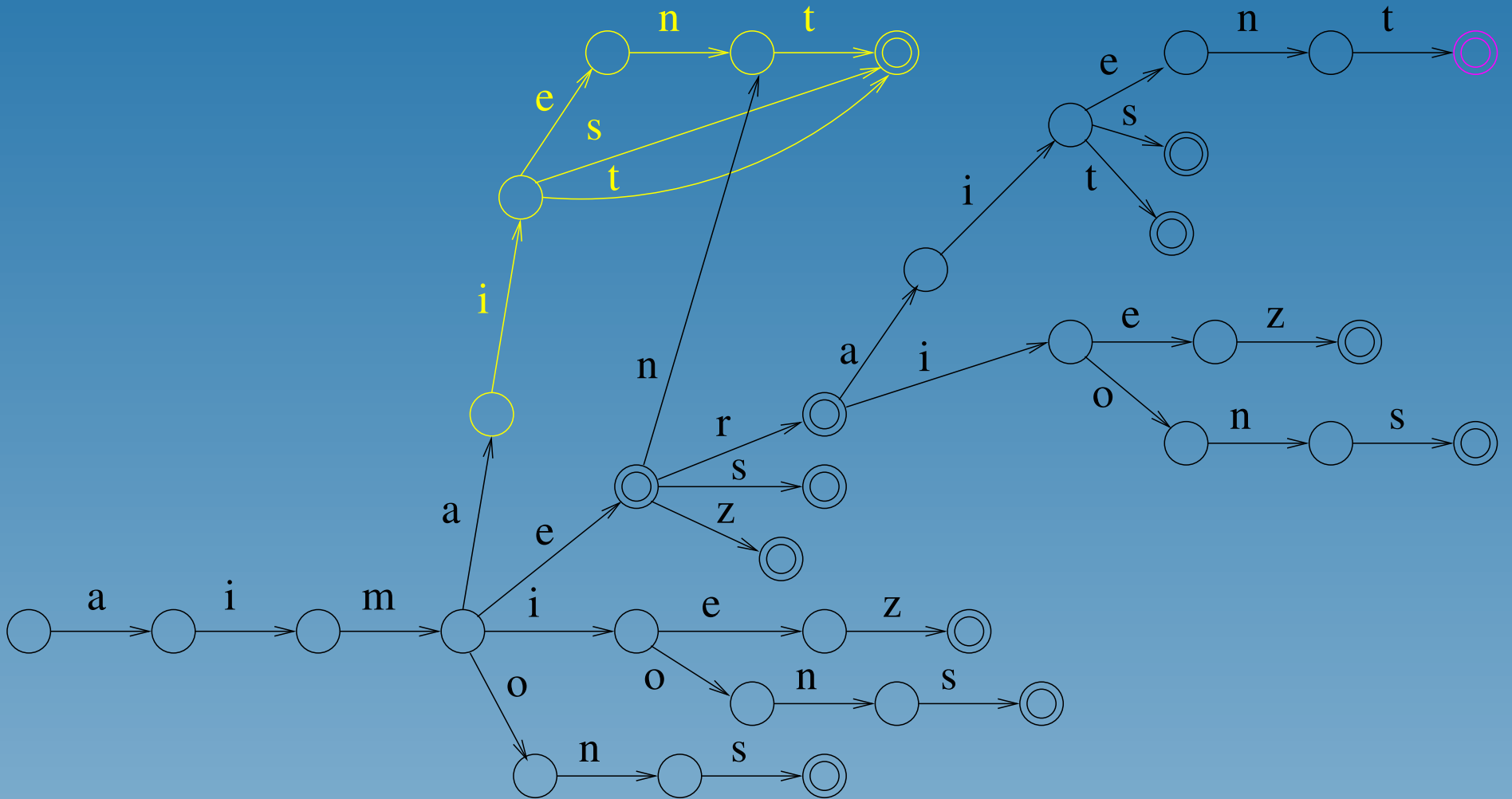
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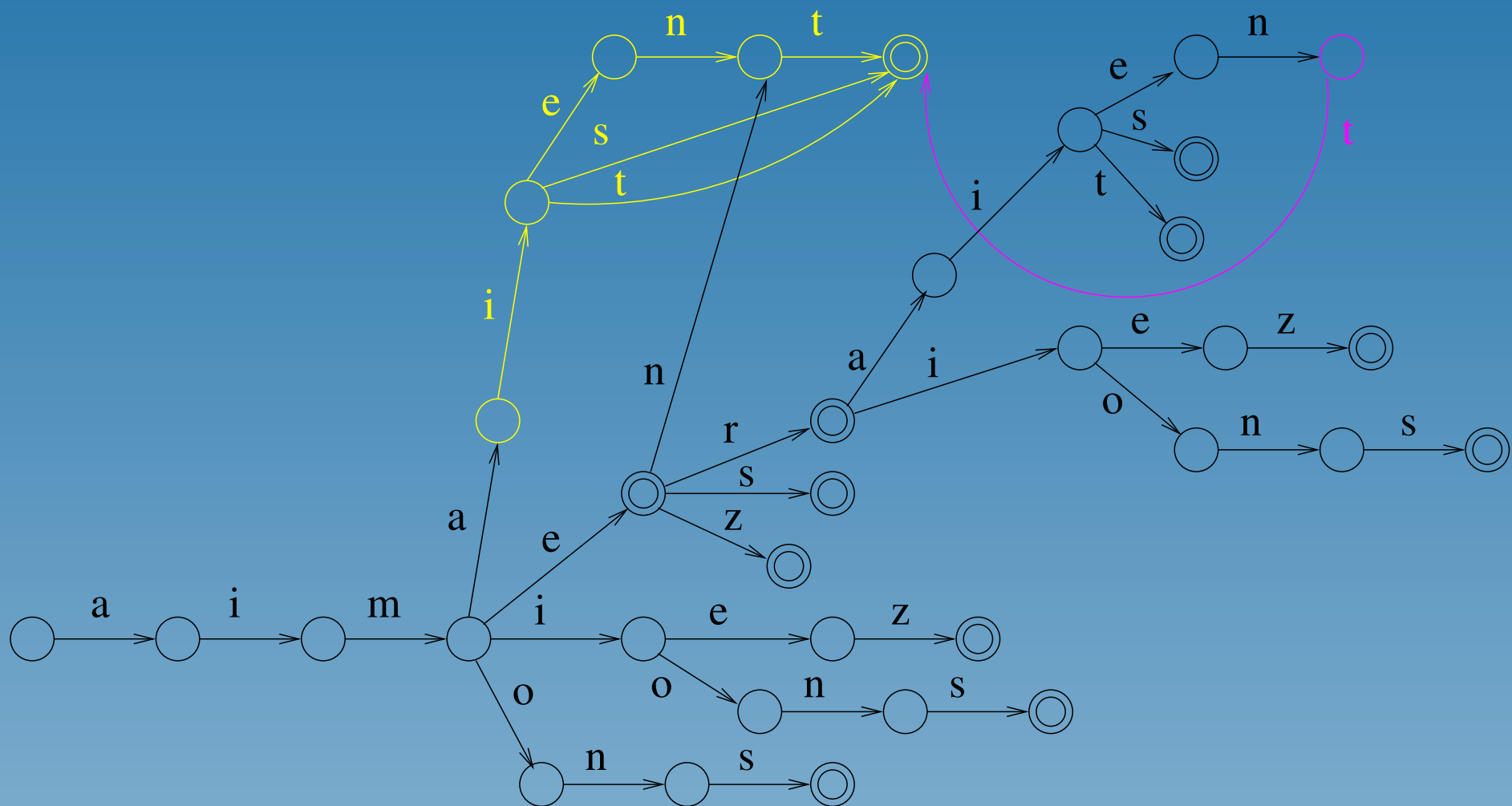
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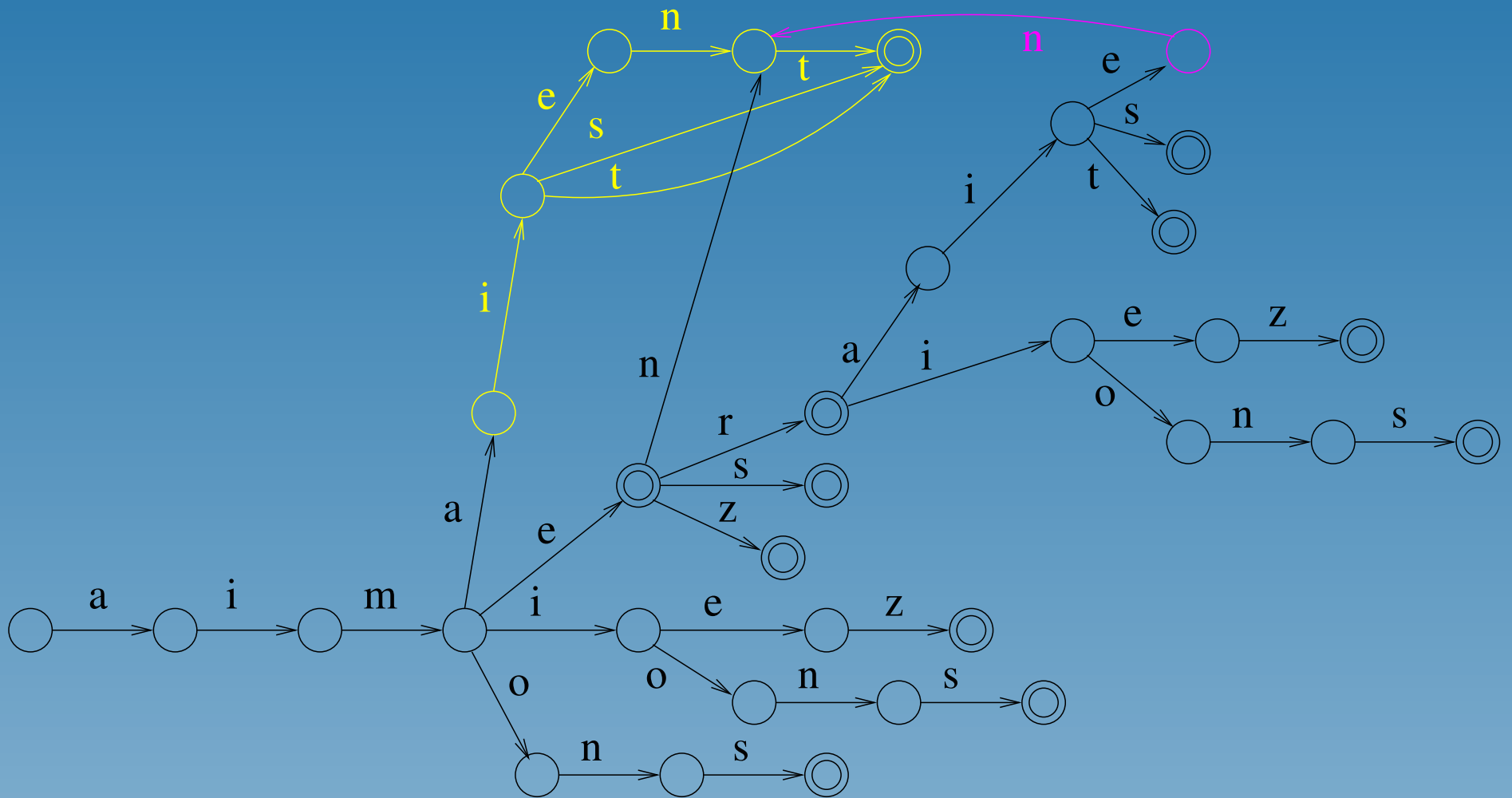
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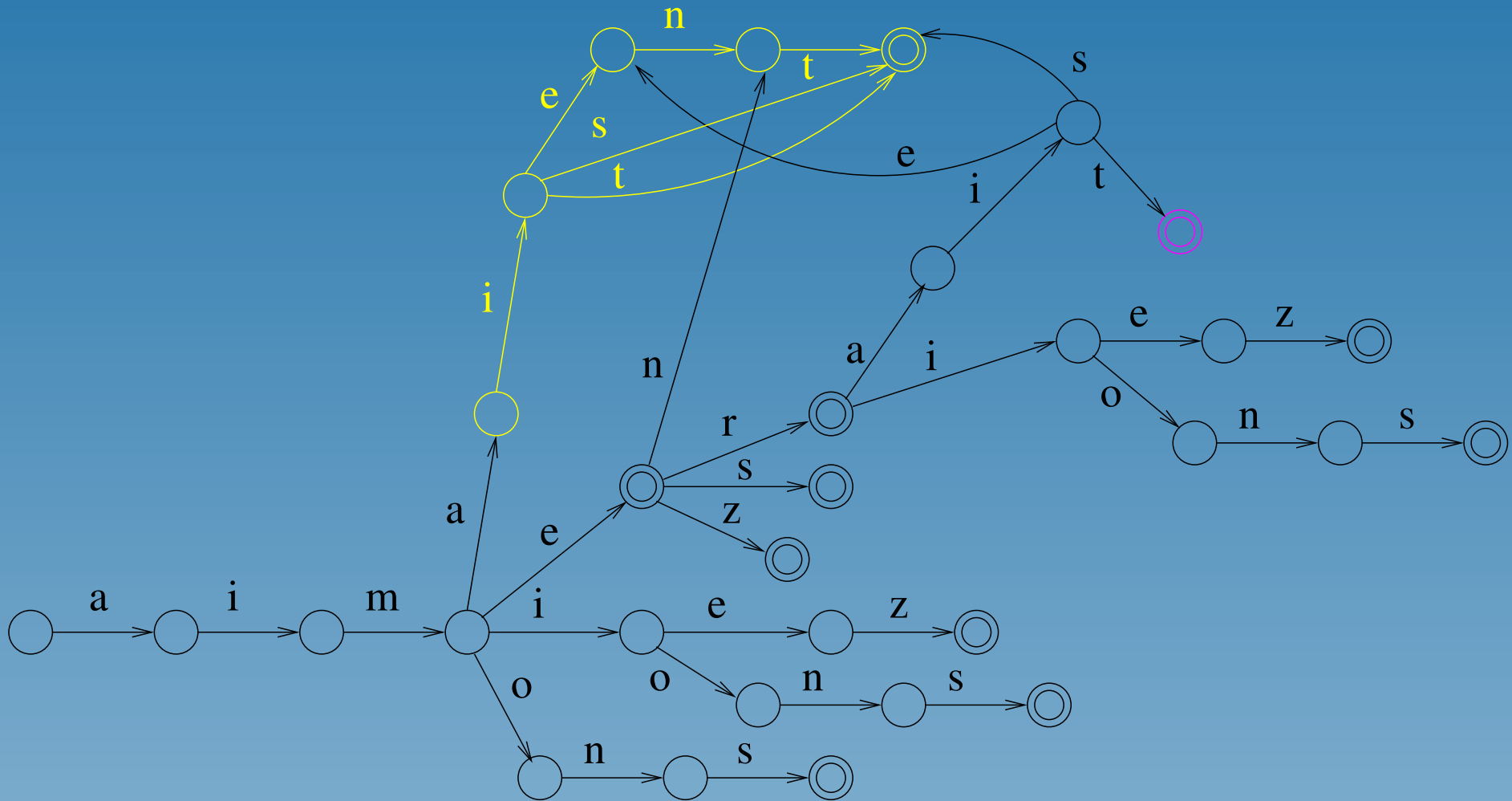
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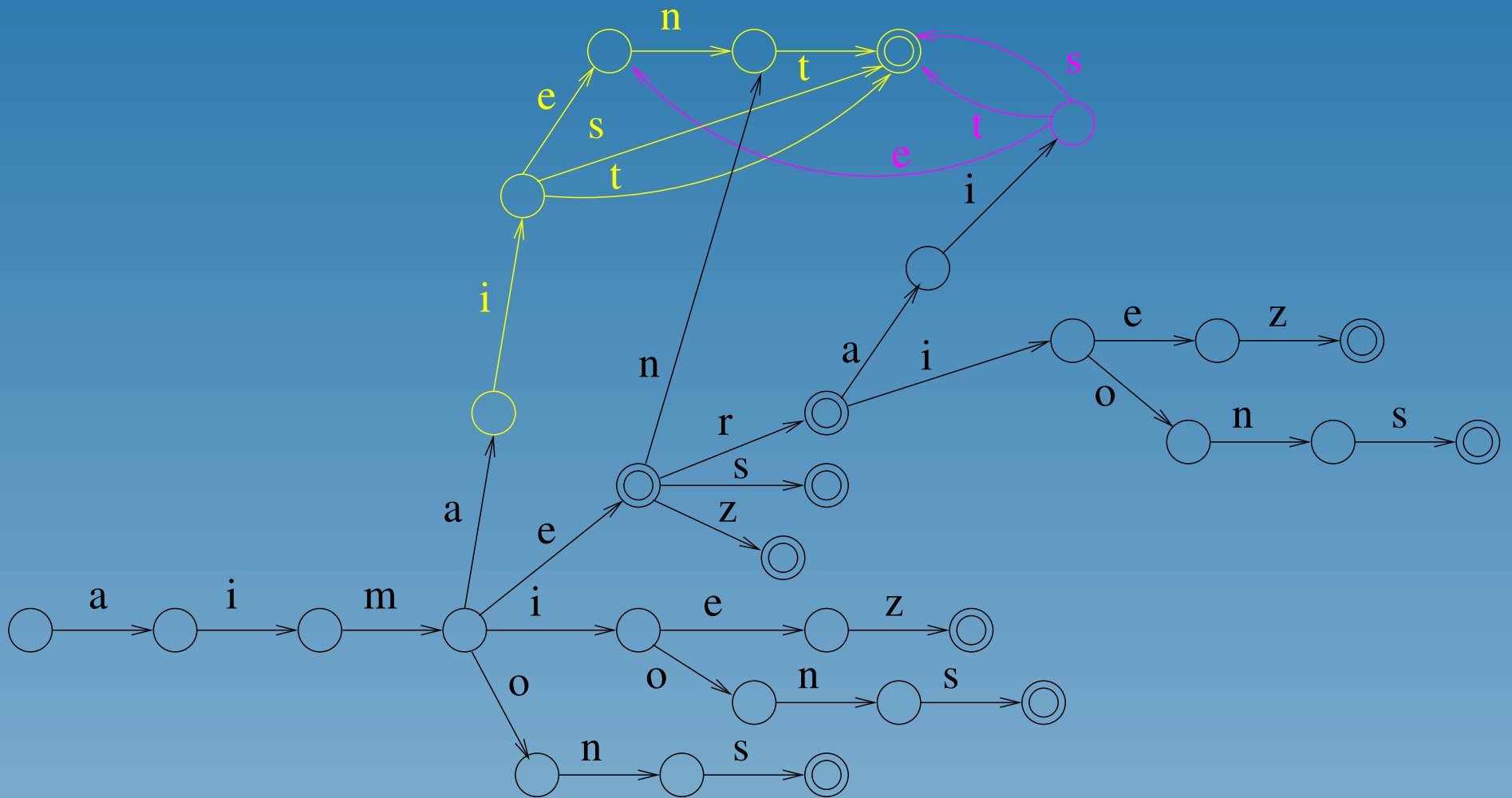
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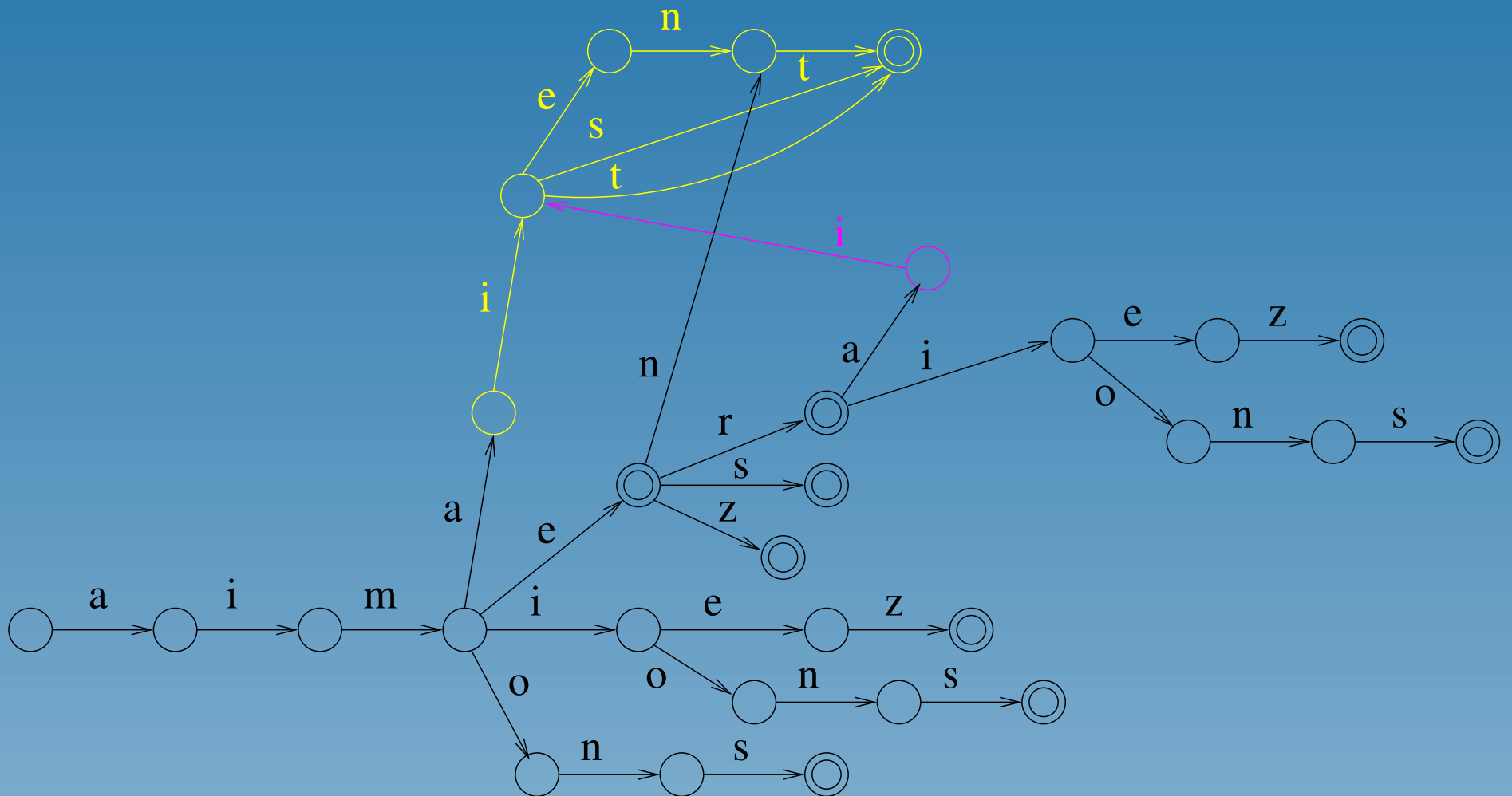
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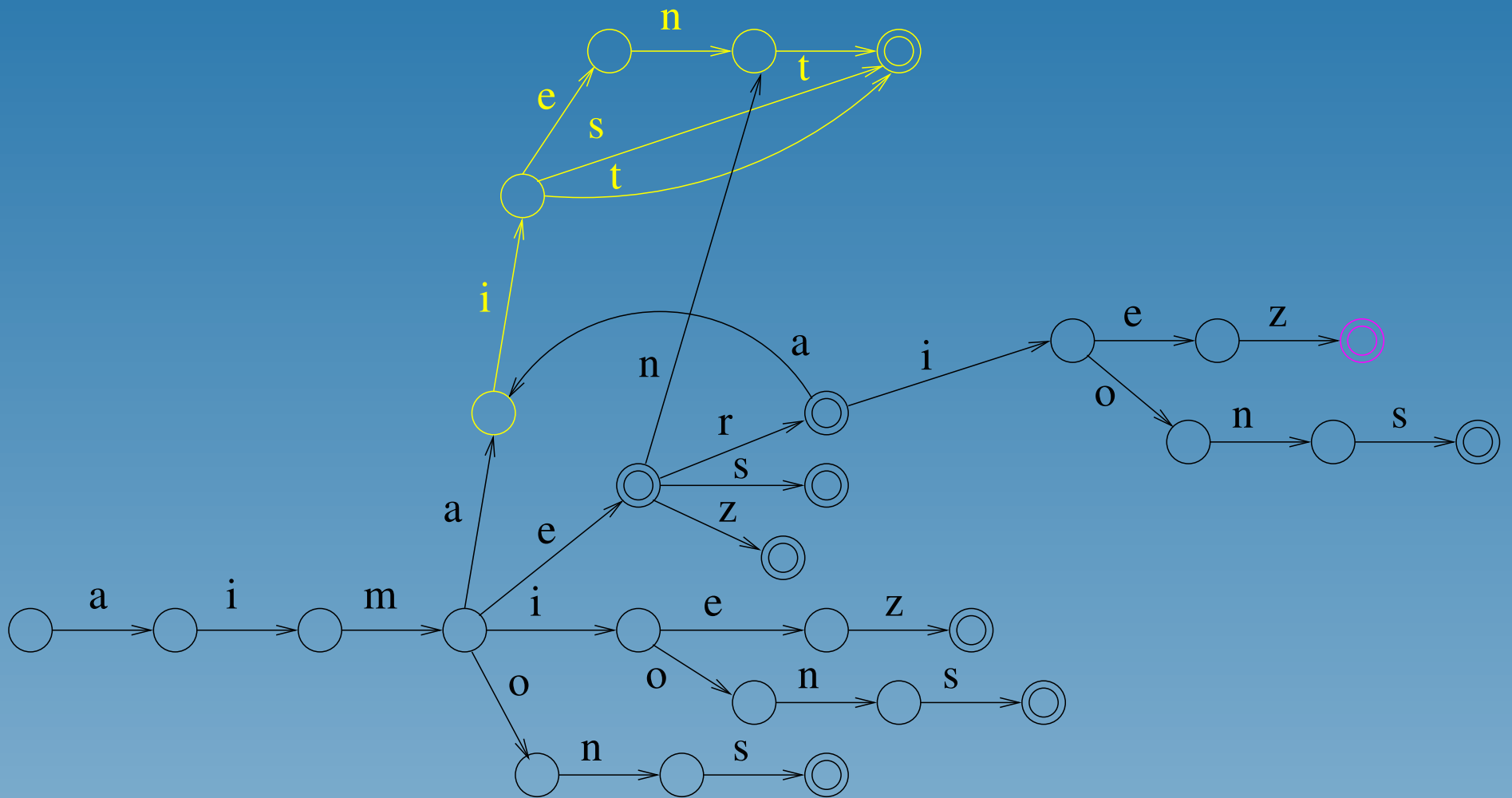
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The register

How does one check equivalence of two states?

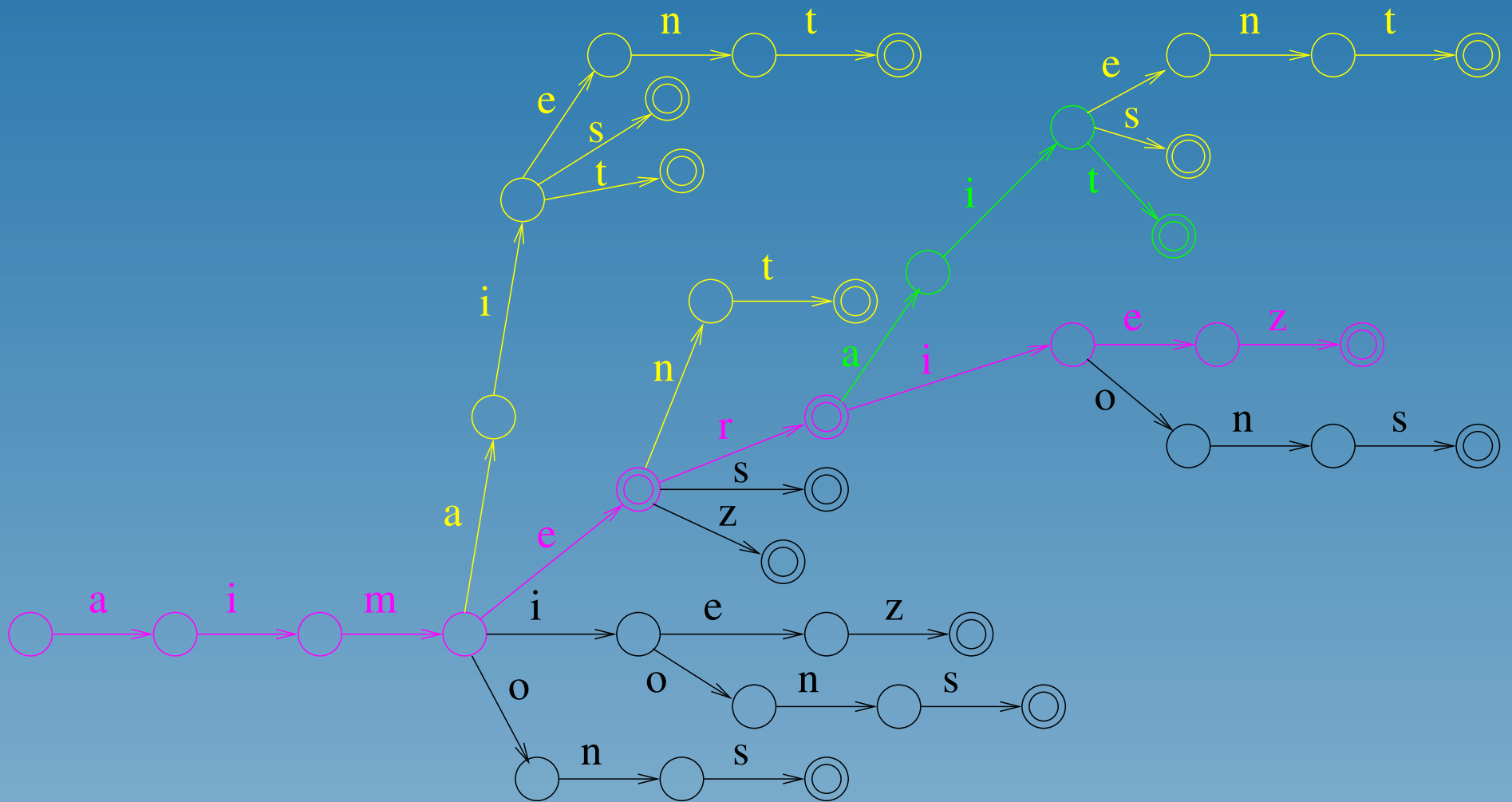
- Use the recursive definition of $\vec{\mathcal{L}}(q)$
- Visit states using postorder, so that children have unique right languages
- Keep pointers to states with unique $\vec{\mathcal{L}}(q)$ in a sparse table
- Use hash function on finality and transitions

Result: Operations on the register are $\mathcal{O}(1)$

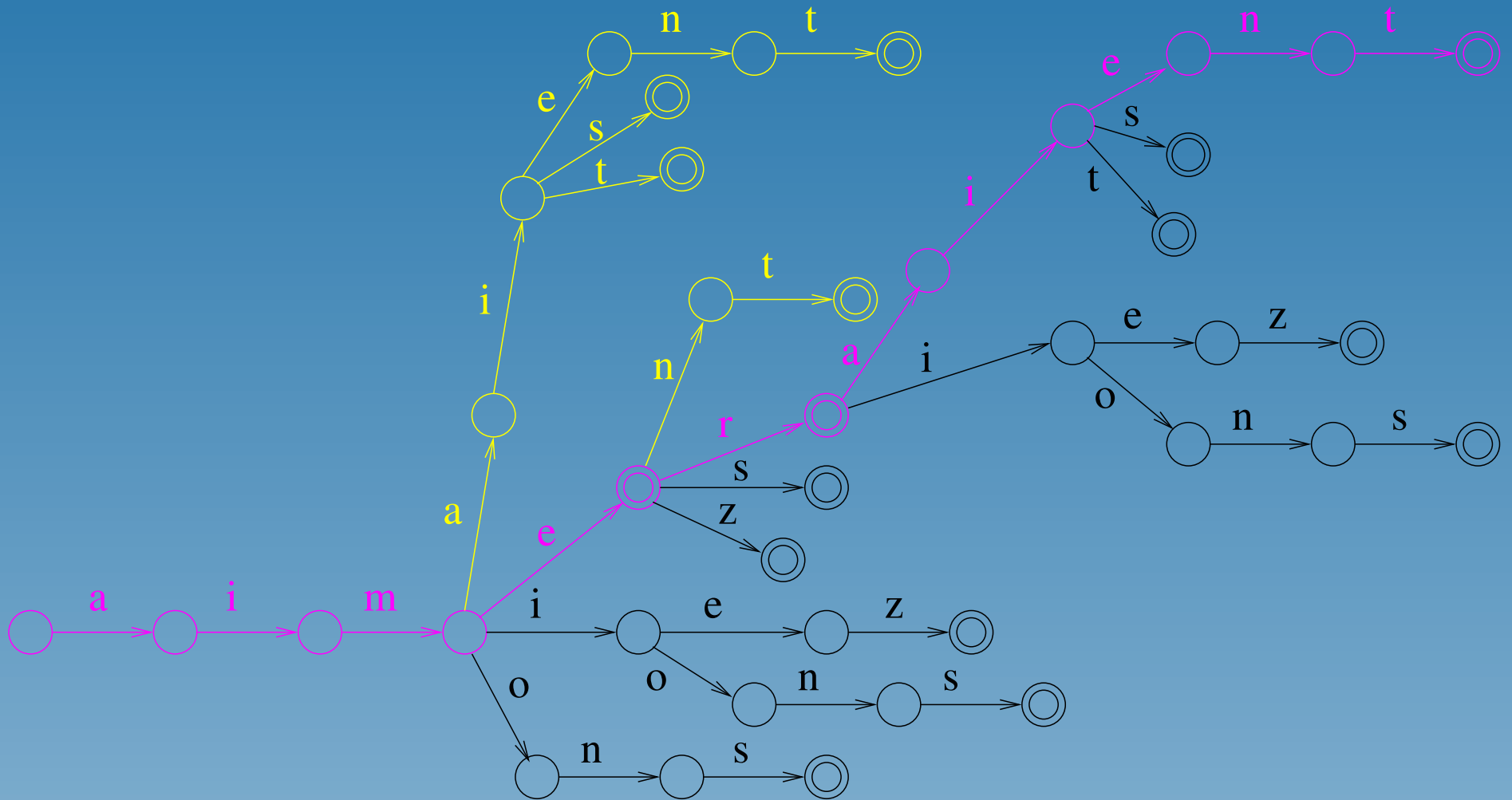
Synchronization

- Add a word to the language of the automaton and minimize the whole automaton again
 - ◇ does not pose any restrictions on input data
 - ◇ the same states have to be processed over and over again – slow
- Add a word to the language of the automaton and minimize the part that will not change in the future
 - ◇ requires data to be sorted in some way
 - ◇ faster due to processing of states only when once

Synchronization – sorted data



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Incremental construction from sorted data

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1: function sorted_construction;
2:    $w' \leftarrow \epsilon$ ;
3:   while input not empty do
4:      $s \leftarrow q_0$ ;  $i \leftarrow 1$ ;  $w \leftarrow$  next word;
5:     while  $i \leq |w|$  and  $\delta(s, w_i) \neq \perp$  do
6:        $s \leftarrow \delta(s, w_i)$ ;  $i \leftarrow i + 1$ ;
7:     end while;
8:     if  $i \leq |w'|$  then repl_or_reg( $\delta(s, w_i)$ ,  $w'_{i+1\dots|w'|}$ ); end if;
9:     while  $i \leq |w|$  do
10:       $\delta(s, w_i) \leftarrow$  new state;  $s \leftarrow \delta(s, w_i)$ ;  $i \leftarrow i + 1$ ;
11:    end while;
12:     $F \leftarrow F \cup \{s\}$ ;  $w' \leftarrow w$ 
13:  end while;
14:  repl_or_reg( $q_0$ ,  $w'$ );
15: end function;
16: function repl_or_reg( $q$ ,  $v$ );
17:   if  $v \neq \epsilon$  then
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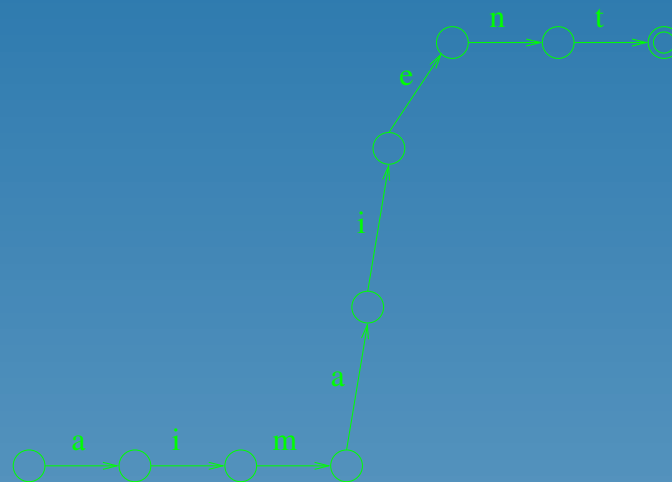
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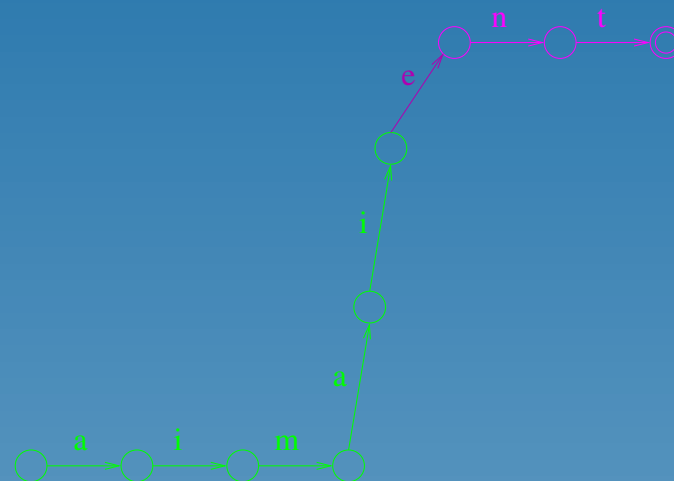
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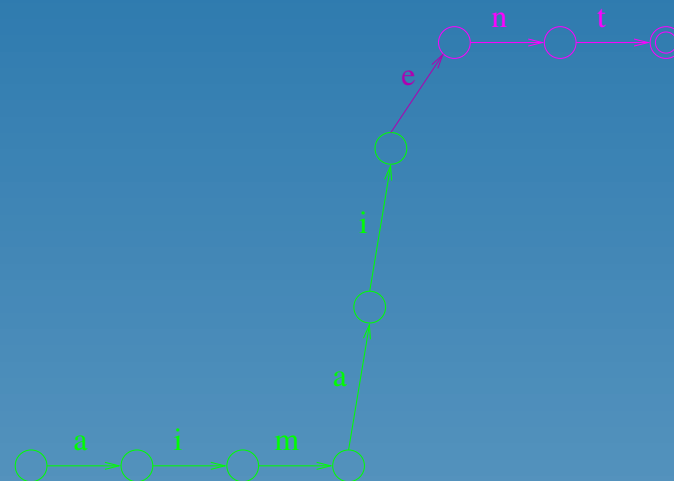
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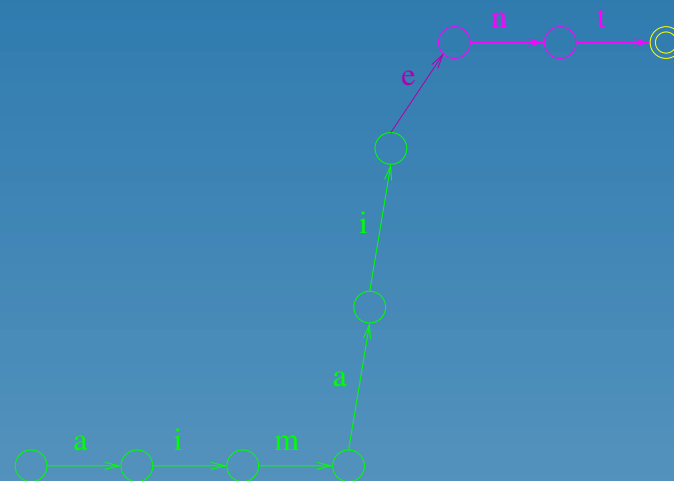
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Incremental construction from sorted data – examples

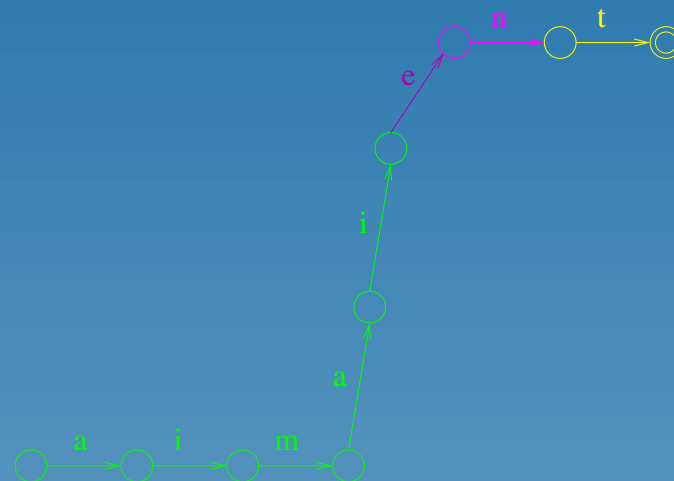
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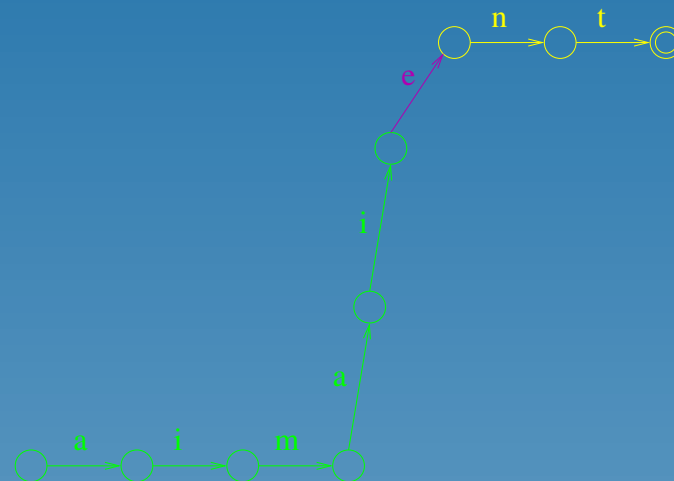
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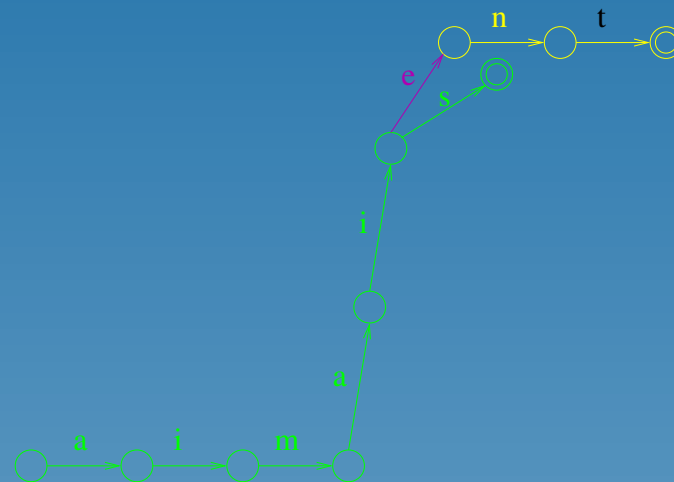
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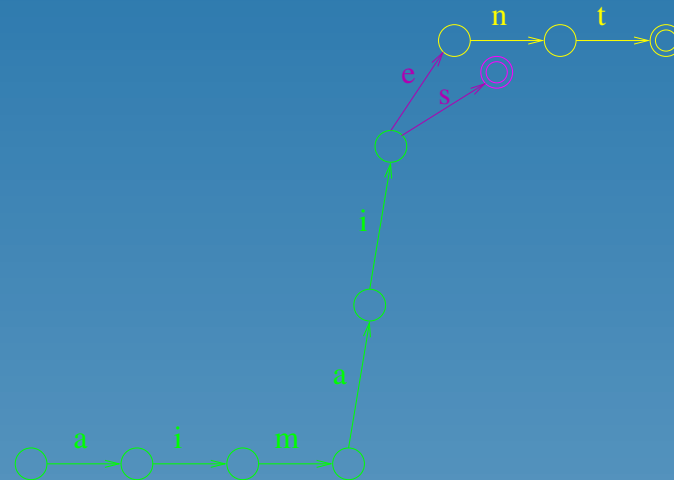
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Incremental construction from sorted data – examples

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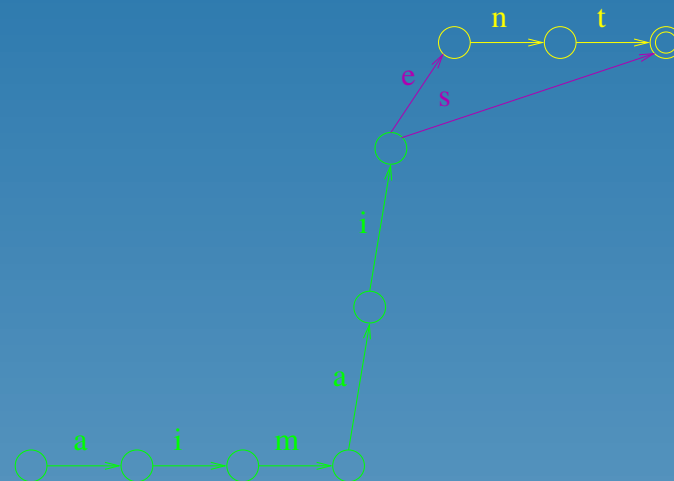
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Incremental construction from sorted data – examples

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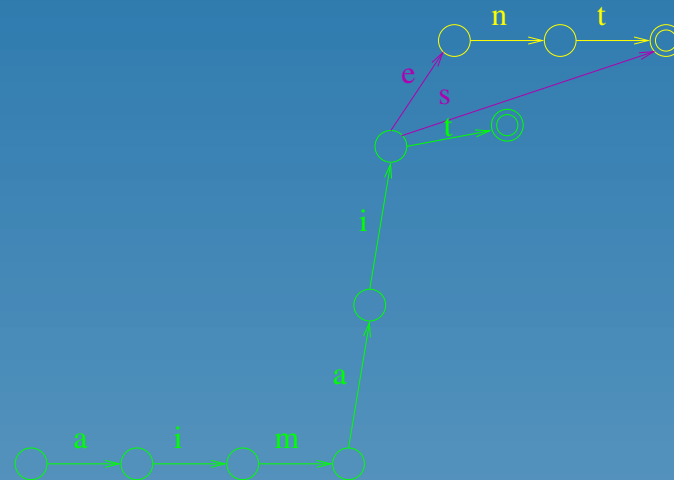
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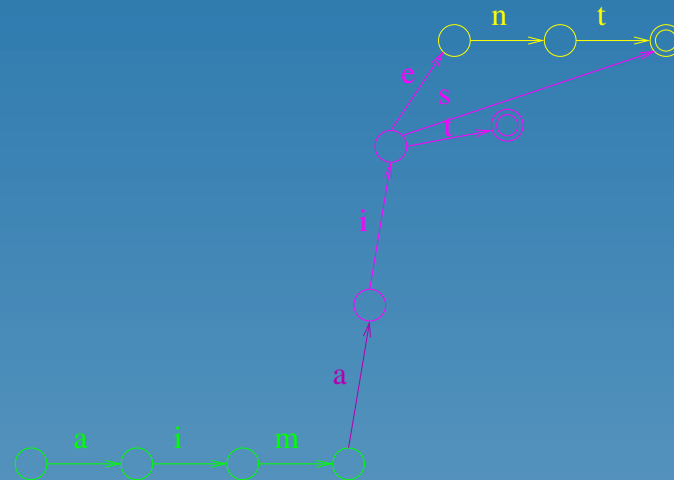
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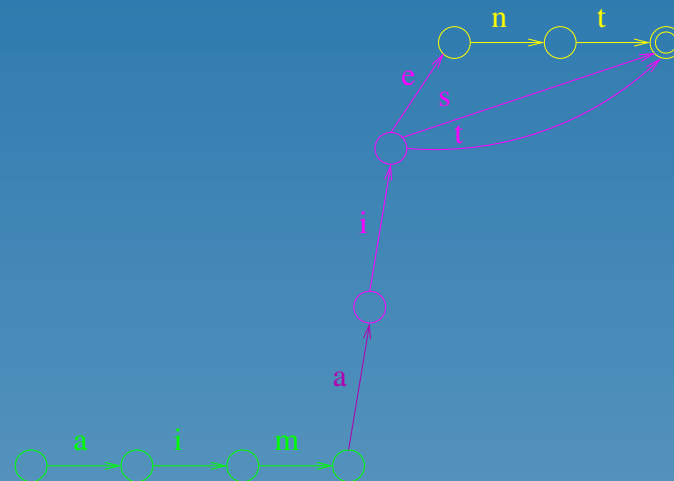
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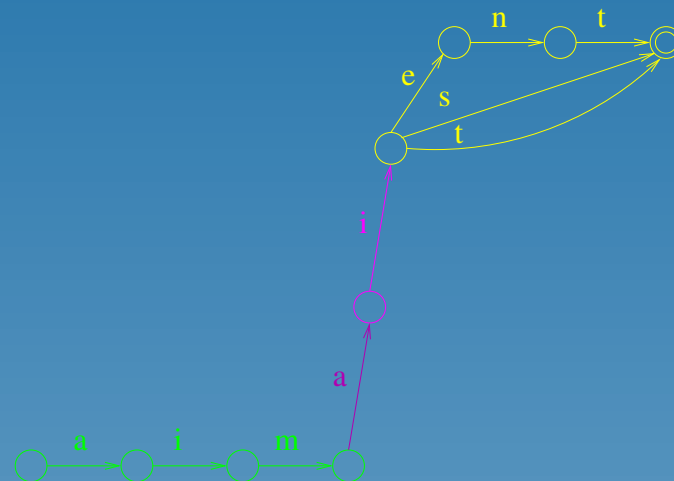
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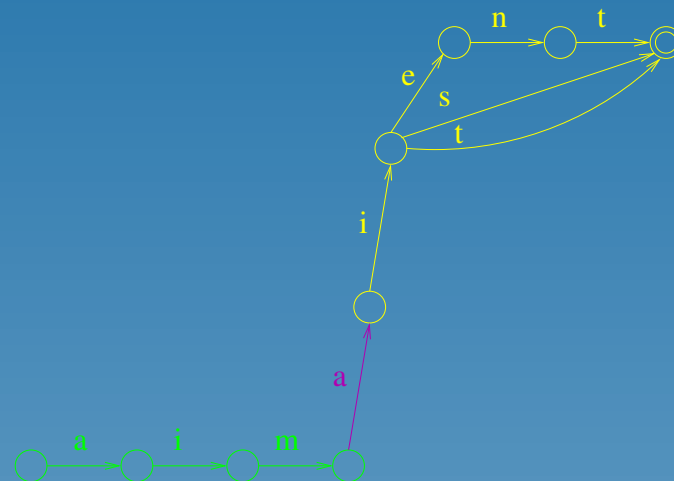
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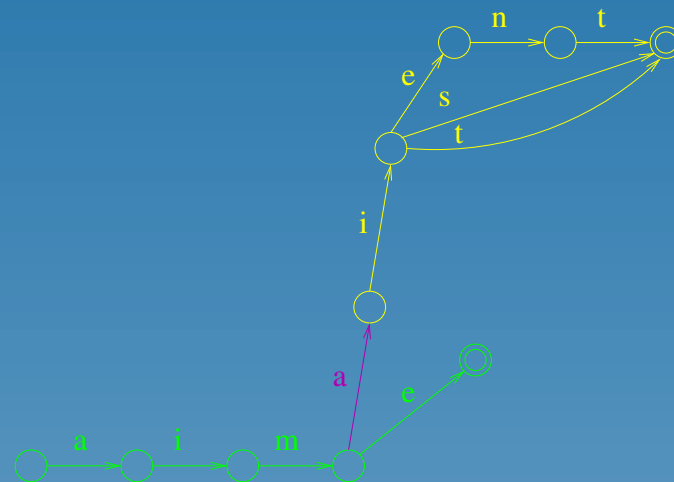
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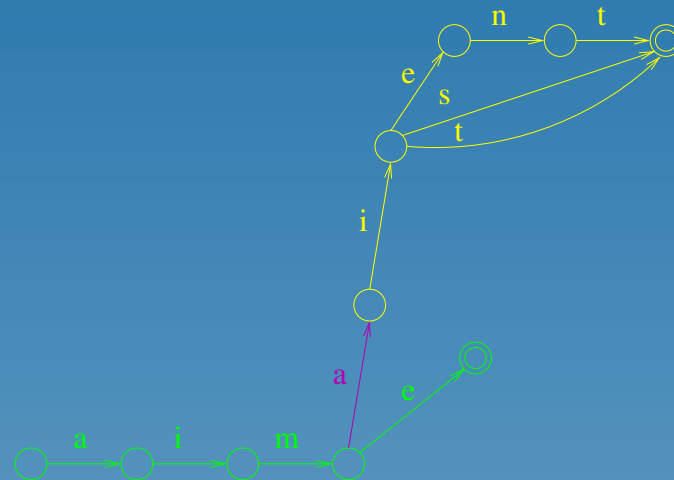
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19: function repl_or_reg( $q, v$ );
20:   if  $v \neq \epsilon$  then
21:      $\delta(q, v_1) \leftarrow$  repl_or_reg( $\delta(q, v_1)$ ,  $v_{2..|v|}$ );
22:   end if;
23:   if  $\exists_{r \in R} r \equiv q$  then
24:     delete  $q$ ; return  $r$ ;
25:   else
26:      $R \leftarrow R \cup \{q\}$ ; return  $q$ ;
27:   end if;
28: end function;

```



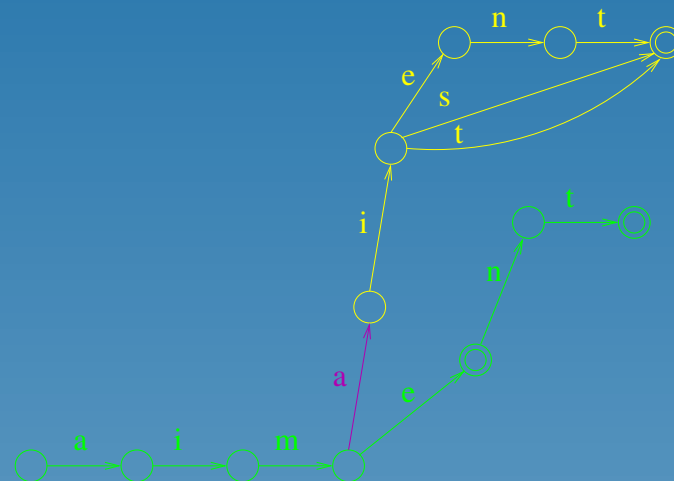
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Incremental construction from sorted data – examples

```

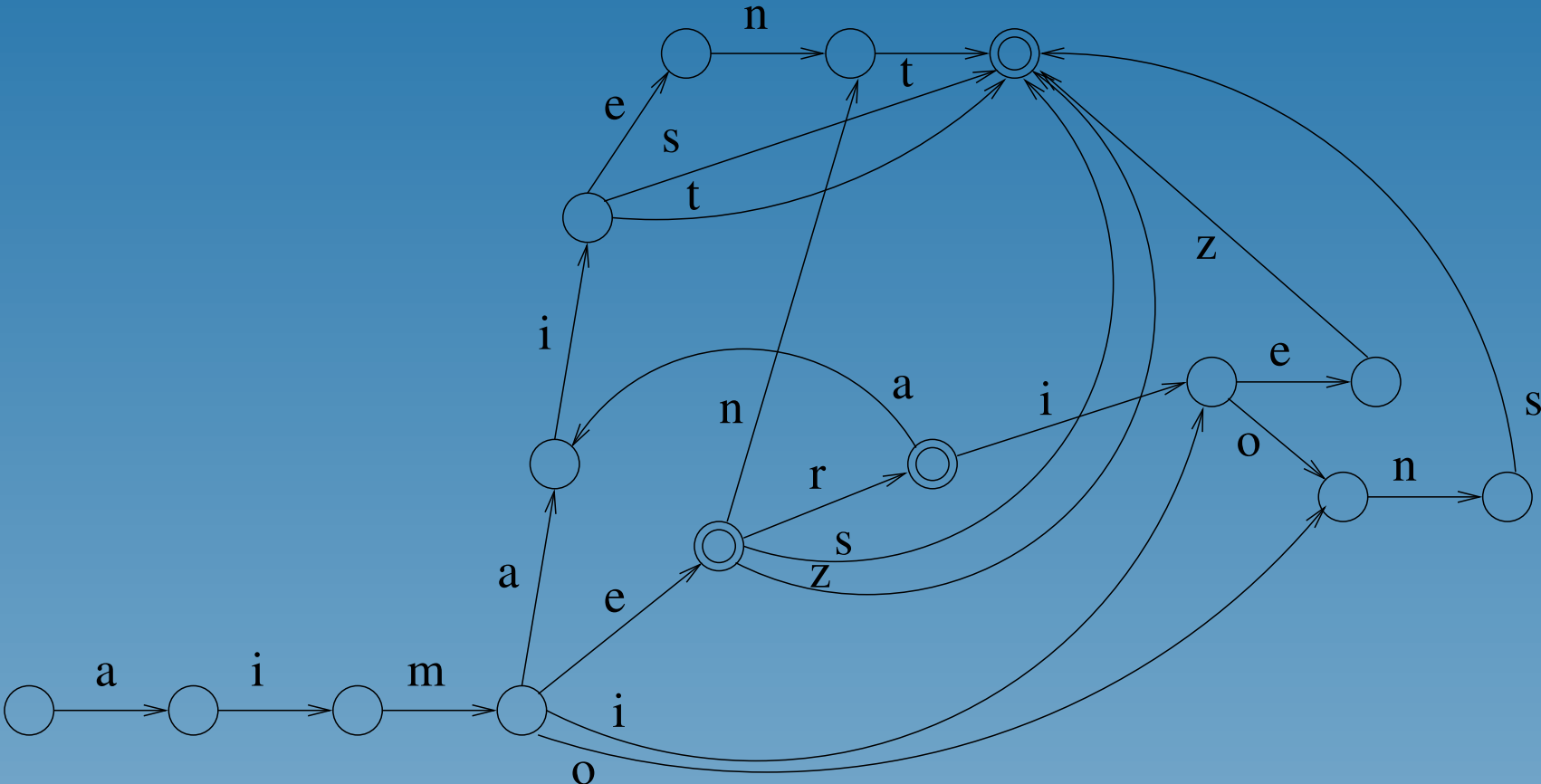
1:  function sorted_construction;
2:     $w' \leftarrow \epsilon$ ;
3:    while input not empty do
4:       $s \leftarrow q_0$ ;  $i \leftarrow 1$ ;  $w \leftarrow$  next word;
5:      while  $i \leq |w|$  and  $\delta(s, w_i) \neq \perp$  do
6:         $s \leftarrow \delta(s, w_i)$ ;  $i \leftarrow i + 1$ ;
7:      end while;
8:      if  $i \leq |w'|$  then
9:        repl_or_reg( $\delta(s, w_i), w'_{i+1..|w'|}$ );
10:     end if;
11:     while  $i \leq |w|$  do
12:        $\delta(s, w_i) \leftarrow$  new state;
13:        $s \leftarrow \delta(s, w_i)$ ;  $i \leftarrow i + 1$ ;
14:     end while;
15:      $F \leftarrow F \cup \{s\}$ ;  $w' \leftarrow w$ 
16:   end while;
17:   repl_or_reg( $q_0, w'$ );
18: end function;
19: function repl_or_reg( $q, v$ );
20:   if  $v \neq \epsilon$  then
21:      $\delta(q, v_1) \leftarrow$  repl_or_reg( $\delta(q, v_1), v_{2..|v|}$ );
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26:      $R \leftarrow R \cup \{q\}$ ; return  $q$ ;
27:   end if;
28: end function;

```

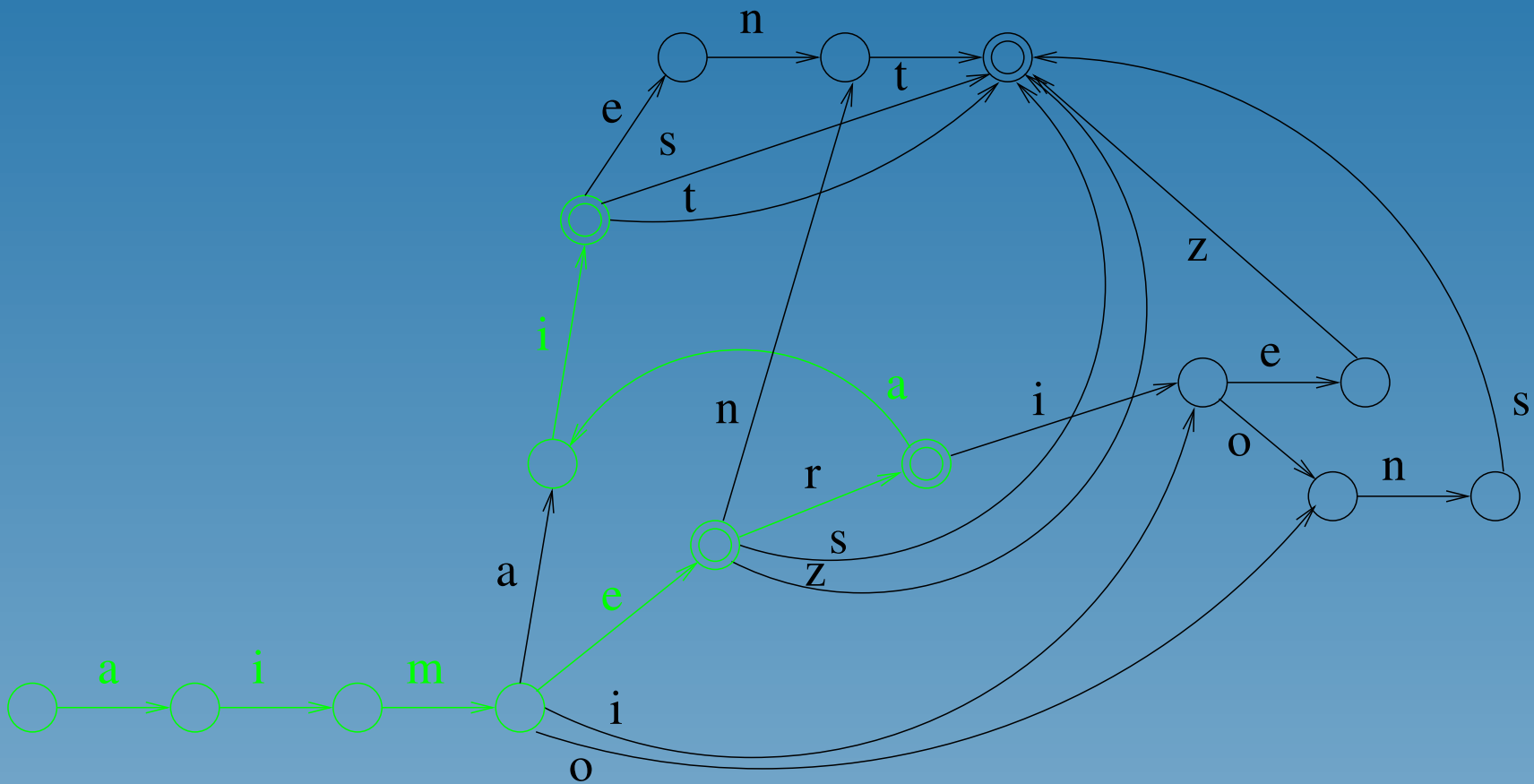


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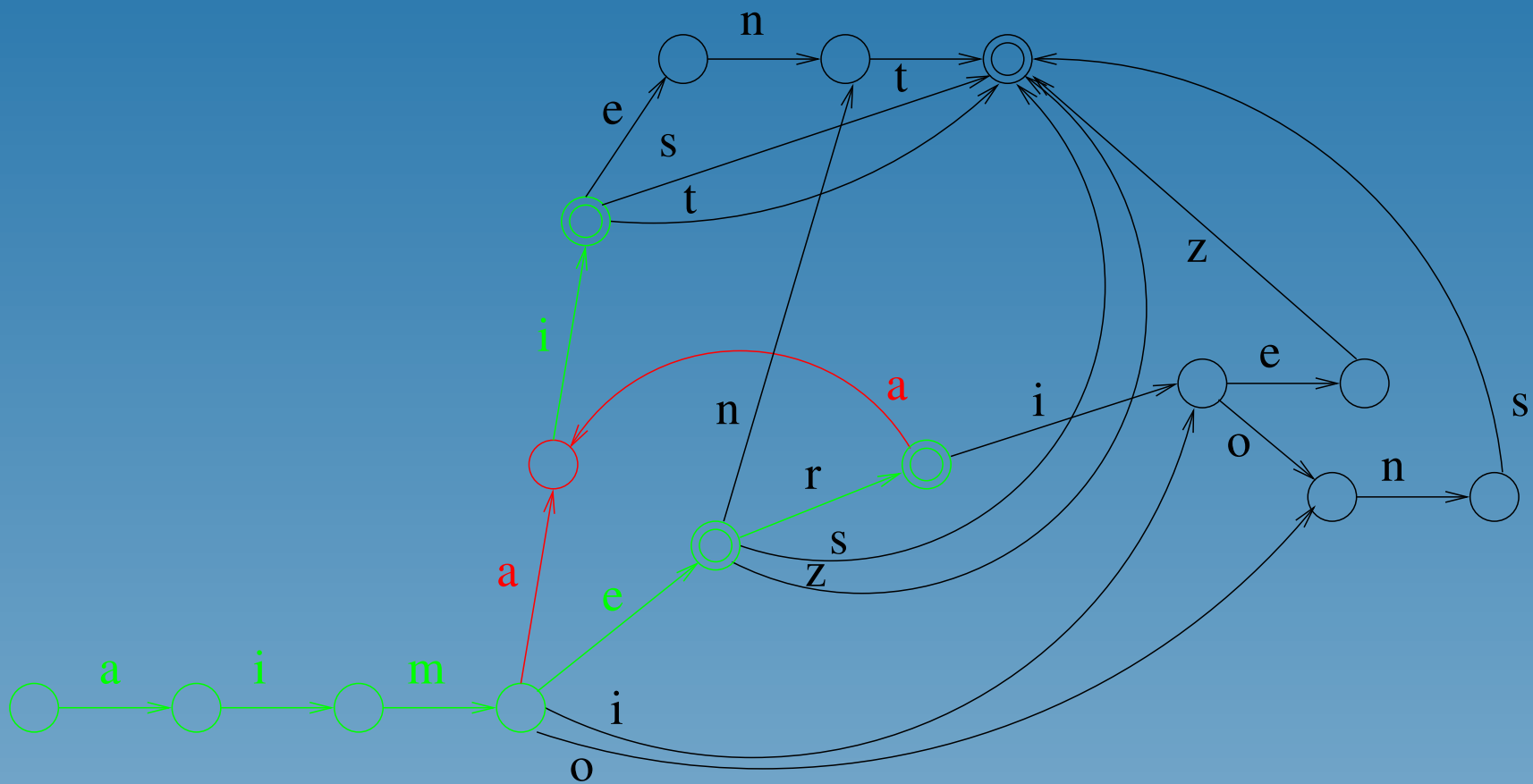
Unsorted data – hidden dangers



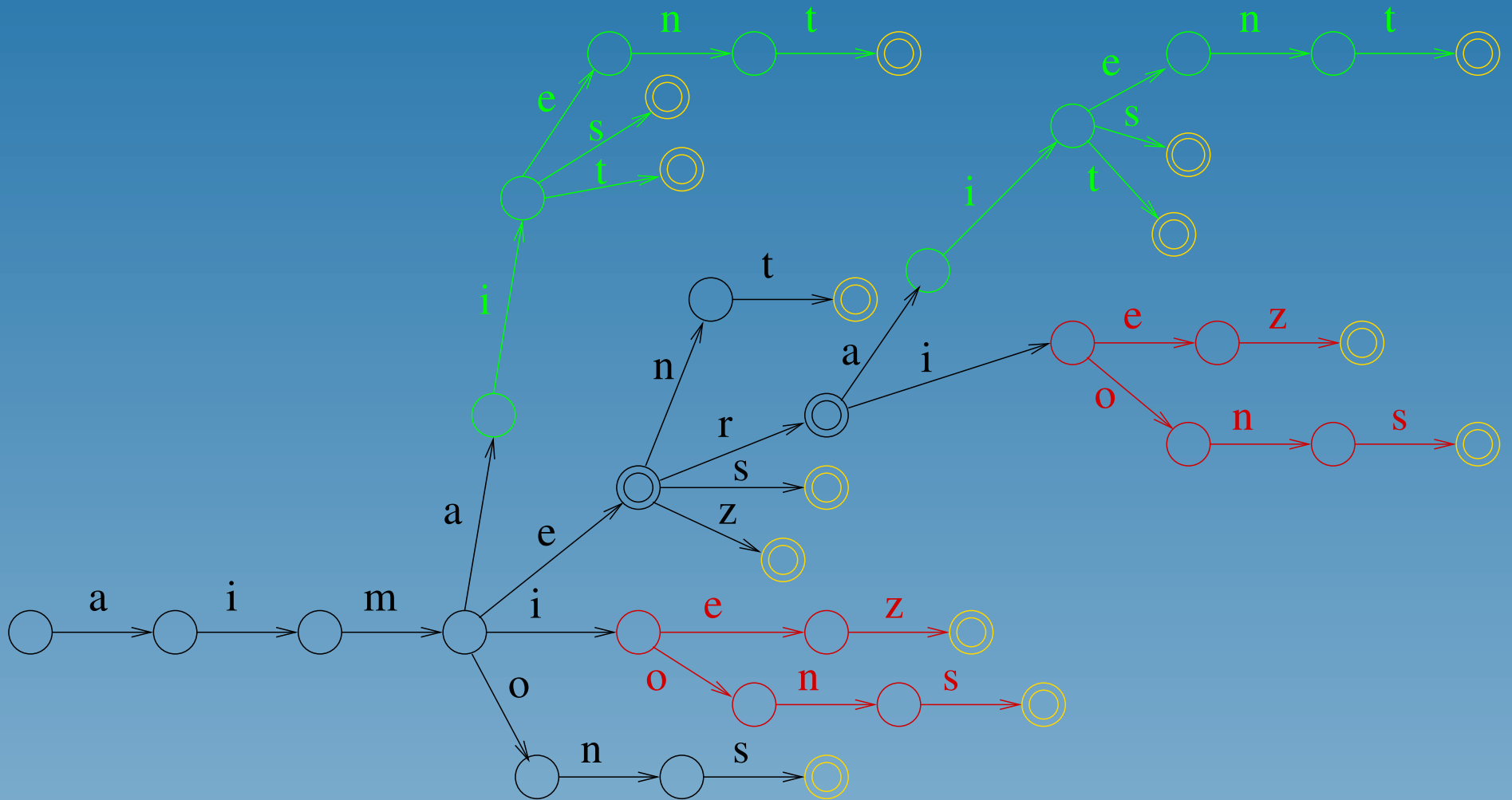
Unsorted data – hidden dangers



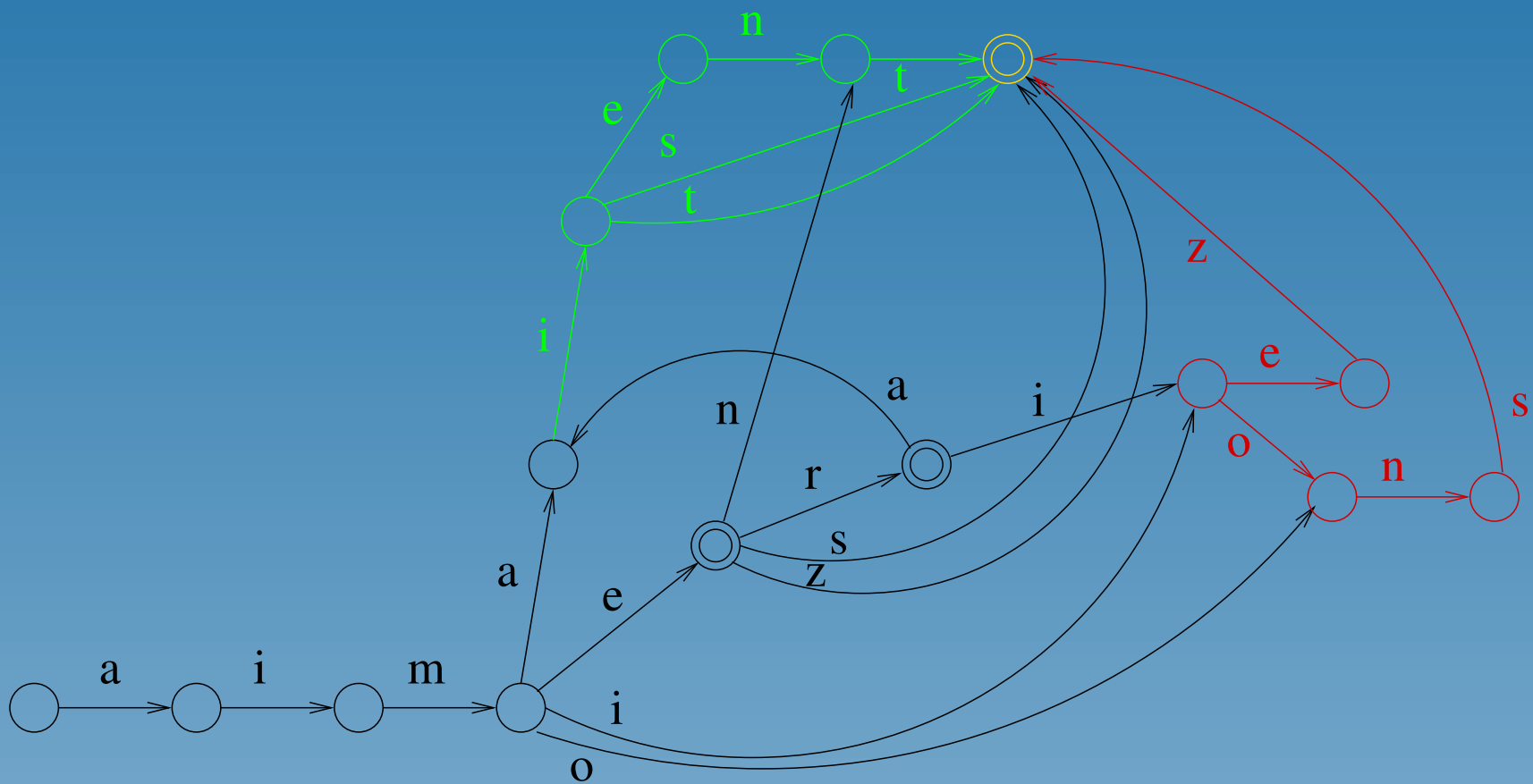
Unsorted data – hidden dangers



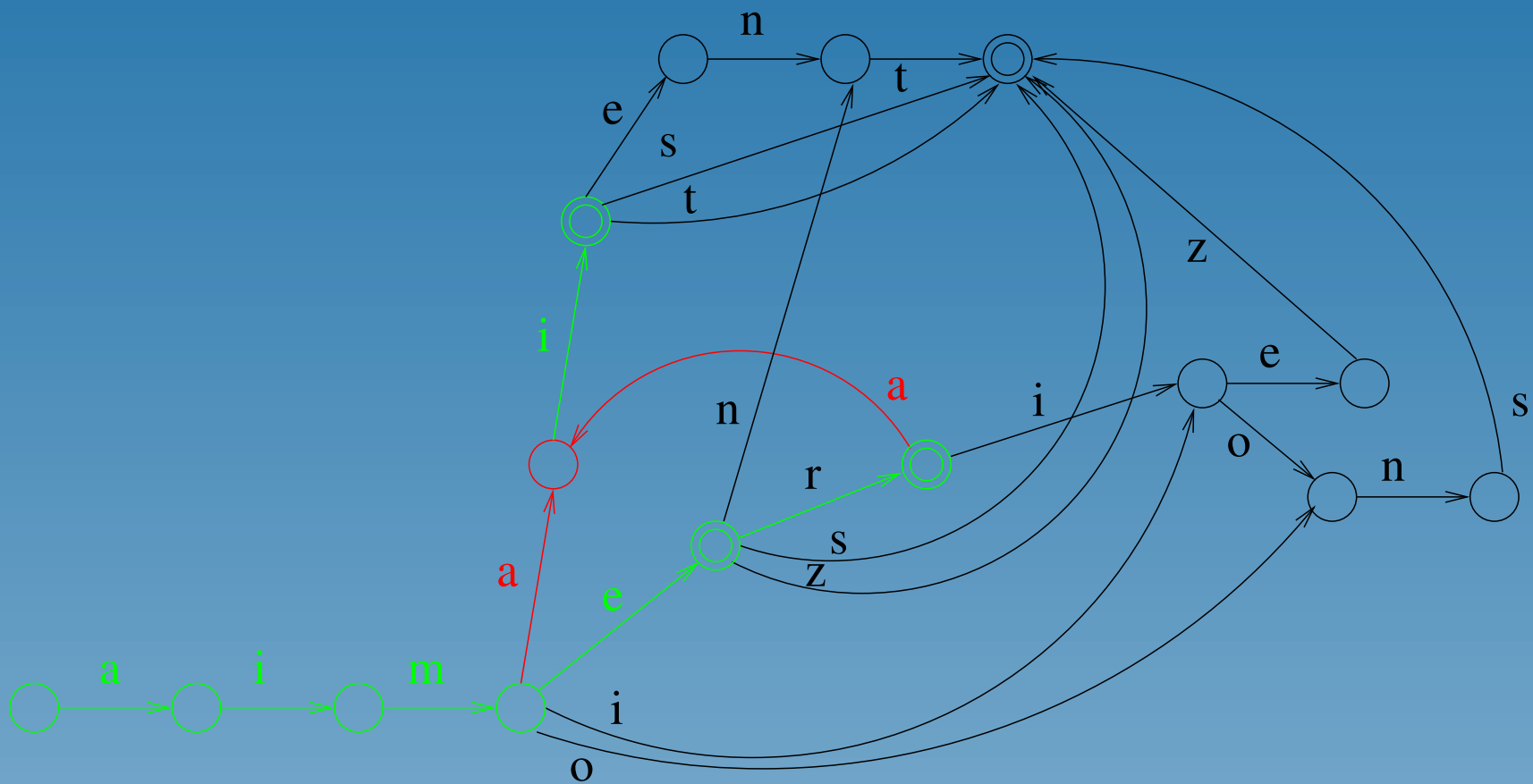
Unsorted data – hidden dangers



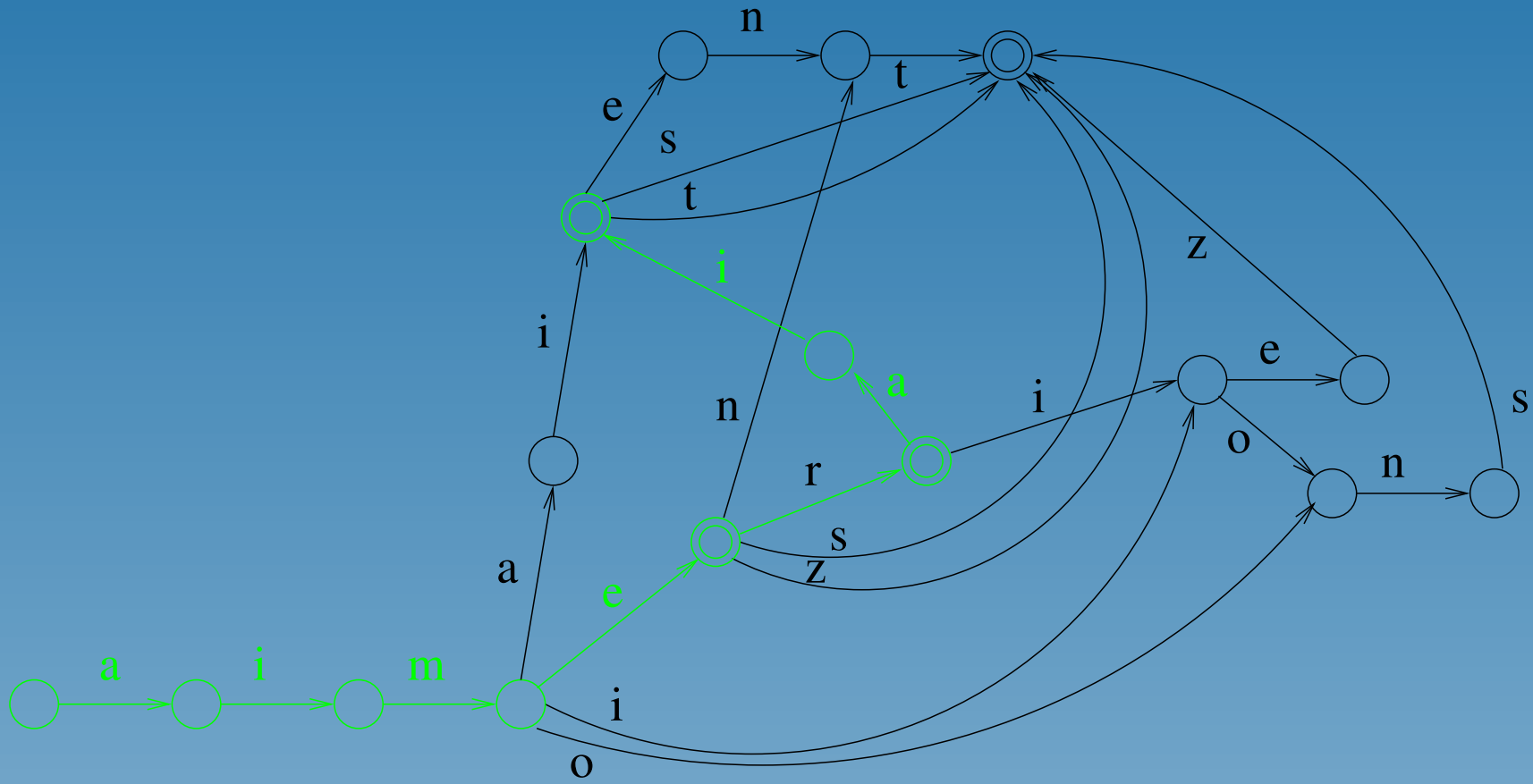
Unsorted data – hidden dangers



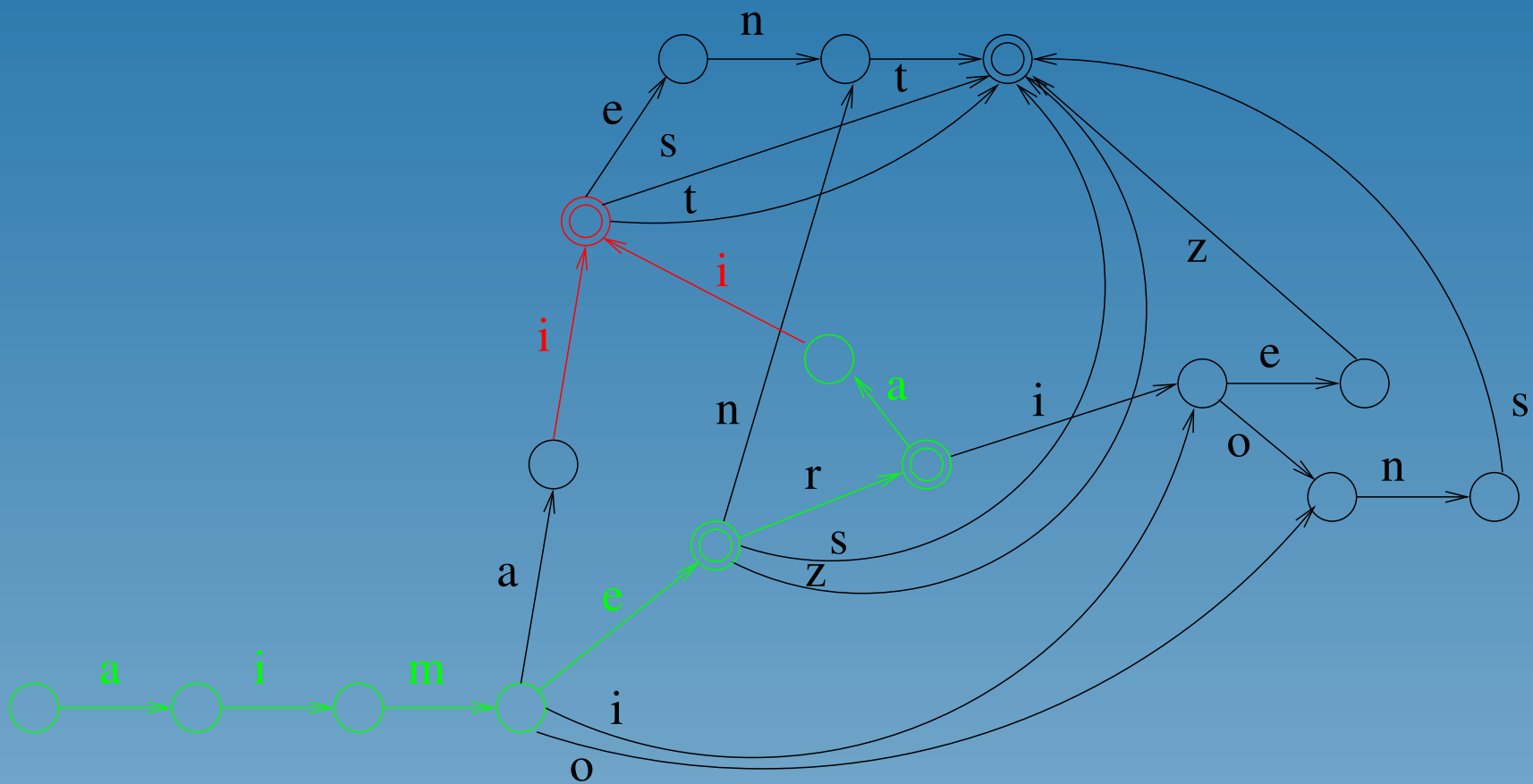
Unsorted data – hidden dangers



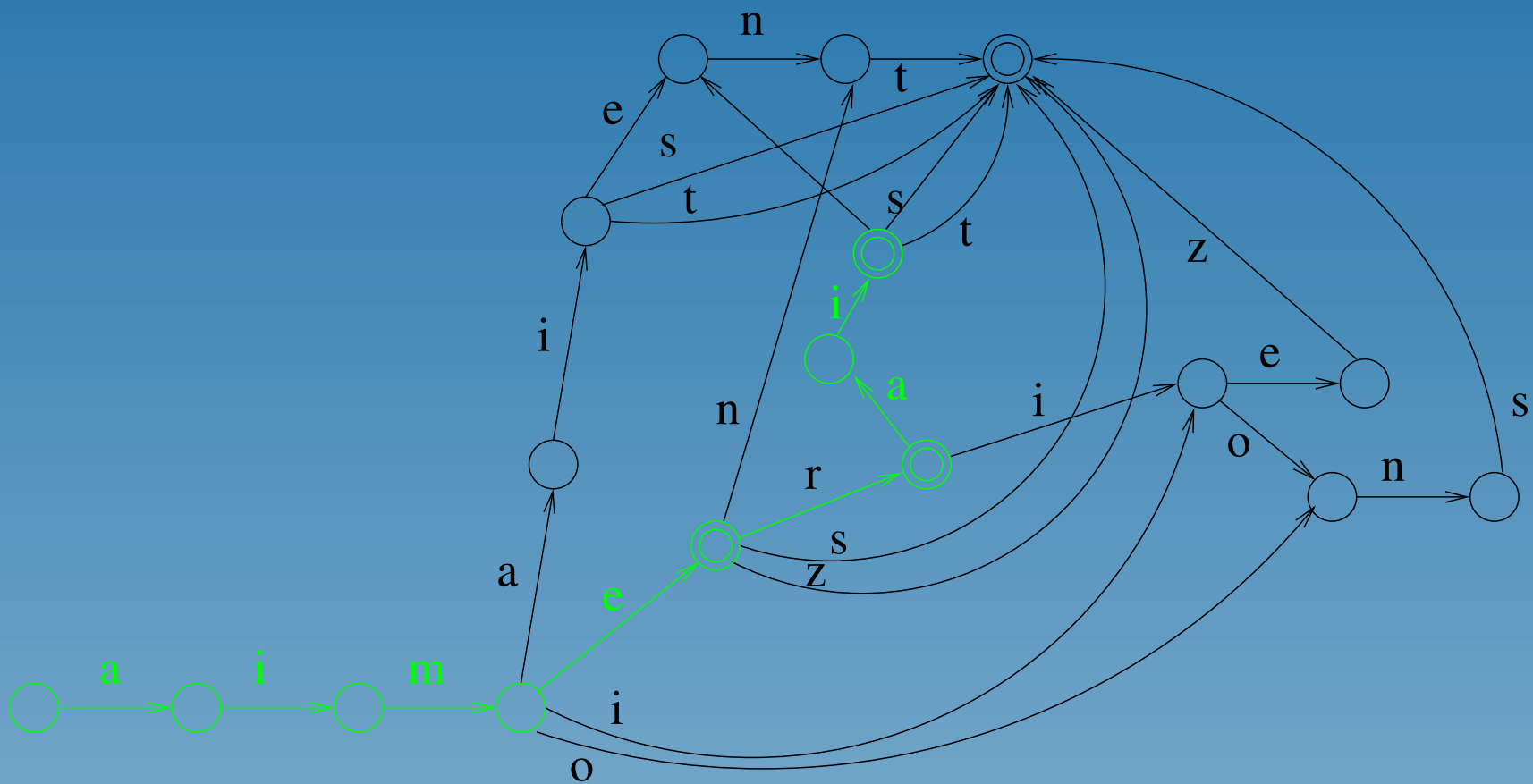
Unsorted data – hidden dangers



Unsorted data – hidden dangers



Unsorted data – hidden dangers



Incremental construction from unsorted data

```
1: function unsorted_construction;
2:   while input not empty do
3:      $s \leftarrow q_0; i \leftarrow 0; w \leftarrow \text{next word}; \text{push}(s, P);$ 
4:     while  $i \leq |w|$  and  $\delta(s, w_i) \neq \perp$  and  $\text{fanin}(\delta(s, w_i)) \leq 1$  do
5:        $s \leftarrow \delta(s, w_i); \text{push}(s, P); i \leftarrow i + 1;$ 
6:     end while;
7:      $R \leftarrow R \setminus \{s\}; u \leftarrow i;$ 
8:     while  $i \leq |w|$  and  $\delta(s, w_i) \neq \perp$  do
9:        $\delta(s, w_i) \leftarrow \text{clone}(\delta(s, w_i)); s \leftarrow \delta(s, w_i); \text{push}(s, P); i \leftarrow i + 1;$ 
10:    end while;
11:    while  $i \leq |w|$  do
12:       $s \leftarrow \text{new state}; s \leftarrow \delta(s, w_i); \text{push}(s, P); i \leftarrow i + 1;$ 
13:    end while;
14:     $F \leftarrow F \cup \{s\}; \text{pop}(P);$ 
15:    while  $P$  not empty do
16:      if  $\exists_{r \in R} r \equiv s$  then
17:        if  $i = u$  and  $i > 0$  then  $R \leftarrow R \setminus \{\text{top}(P)\}; u \leftarrow u - 1;$  end if;
18:        delete  $s; \delta(\text{top}(P), w_i) \leftarrow r;$ 
19:      else
20:         $R \leftarrow R \cup \{s\};$  if  $i = u$  then break; end if;
21:      end if;
22:       $i \leftarrow i - 1; s \leftarrow \text{pop}(P);$ 
23:    end while;
24:    reset  $P;$ 
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25:  end while;
26: end function;
```

Incremental construction from unsorted data

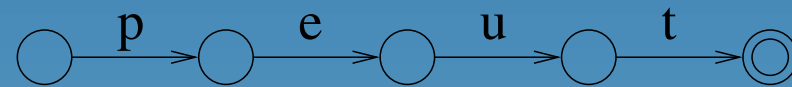
```
1: function unsorted_construction;
2:   while input not empty do
3:      $s \leftarrow q_0; i \leftarrow 0; w \leftarrow \text{next word}; \text{push}(s, P);$ 
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24:     $\text{reset } P;$ 
25:  end while;
26: end function;
```

Incremental construction from unsorted data

```
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2:   while input not empty do
3:      $s \leftarrow q_0; i \leftarrow 0; w \leftarrow \text{next word}; \text{push}(s, P);$ 
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5:        $s \leftarrow \delta(s, w_i); \text{push}(s, P); i \leftarrow i + 1;$ 
6:     end while;
7:      $R \leftarrow R \setminus \{s\}; u \leftarrow i;$ 
8:     while  $i \leq |w|$  and  $\delta(s, w_i) \neq \perp$  do
9:        $\delta(s, w_i) \leftarrow \text{clone}(\delta(s, w_i)); s \leftarrow \delta(s, w_i); \text{push}(s, P); i \leftarrow i + 1;$ 
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18:        delete  $s; \delta(\text{top}(P), w_i) \leftarrow r;$ 
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23:    end while;
24:    reset  $P;$ 
25:  end while;
26: end function;
```

Incr. constr. from unsorted data – examples

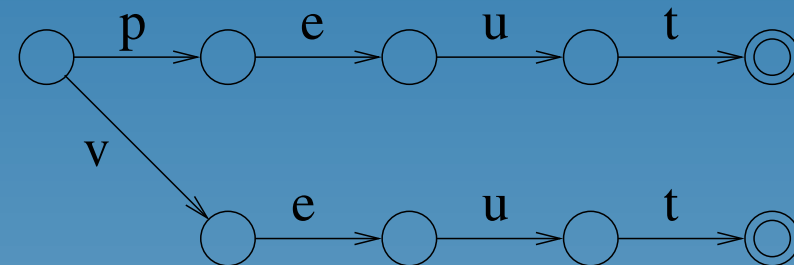
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```



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Incr. constr. from unsorted data – examples

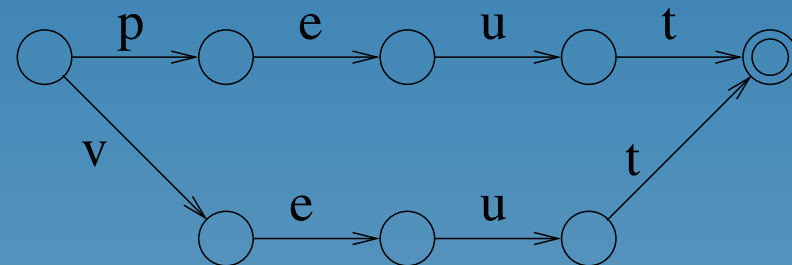
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Incr. constr. from unsorted data – examples

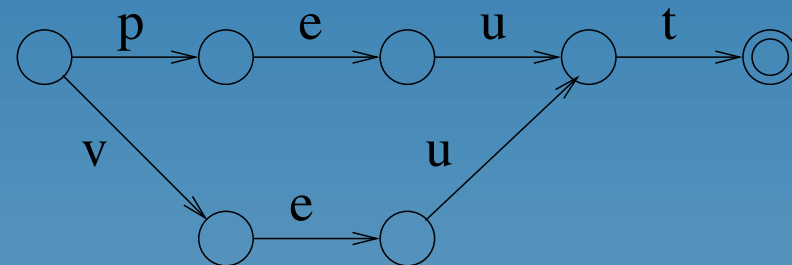
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5:       and  $\text{fanin}(\delta(s, w_i)) \leq 1$  do
6:          $s \leftarrow \delta(s, w_i); \text{push}(s, P); i \leftarrow i + 1;$ 
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8:      $R \leftarrow R \setminus \{s\}; u \leftarrow i;$ 
9:     while  $i \leq |w|$  and  $\delta(s, w_i) \neq \perp$  do
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22:        end if;
23:        delete  $s; \delta(\text{top}(P), w_i) \leftarrow r;$ 
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25:         $R \leftarrow R \cup \{s\};$ 
26:        if  $i = u$  then break; end if;
27:      end if;
28:       $i \leftarrow i - 1; s \leftarrow \text{pop}(P);$ 
29:    end while;
30:    reset  $P;$ 
31:  end while;
32: end function;
```



veut

Incr. constr. from unsorted data – examples

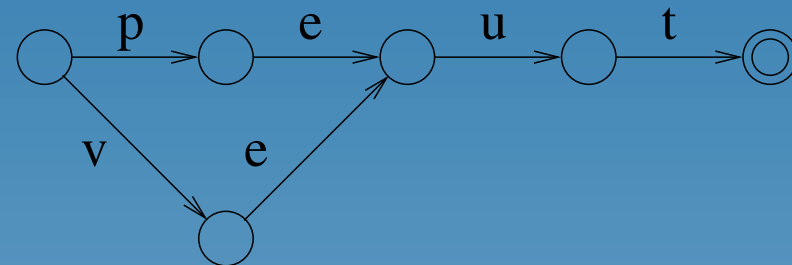
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1: function unsorted_construction;
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veut

Incr. constr. from unsorted data – examples

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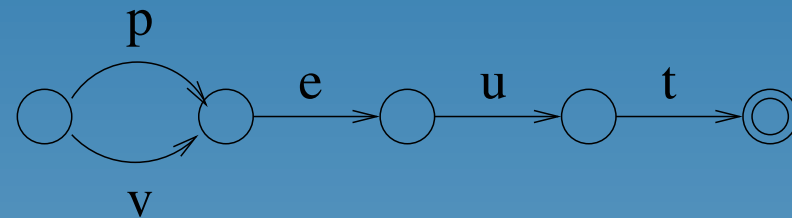
veut

Incr. constr. from unsorted data – examples

```

1:  function unsorted_construction;
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3:       $s \leftarrow q_0; i \leftarrow 0; w \leftarrow \text{next word}; \text{push}(s, P);$ 
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            $R \leftarrow R \setminus \{\text{top}(P)\}; u \leftarrow u - 1;$ 
         end if;
18:          $\text{delete } s; \delta(\text{top}(P), w_i) \leftarrow r;$ 
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23:     end while;
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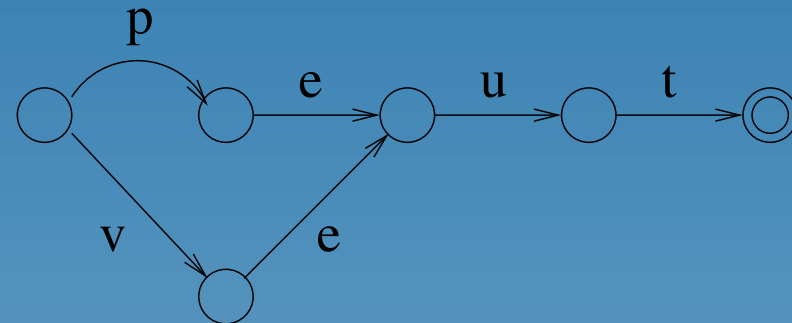
```



veut

Incr. constr. from unsorted data – examples

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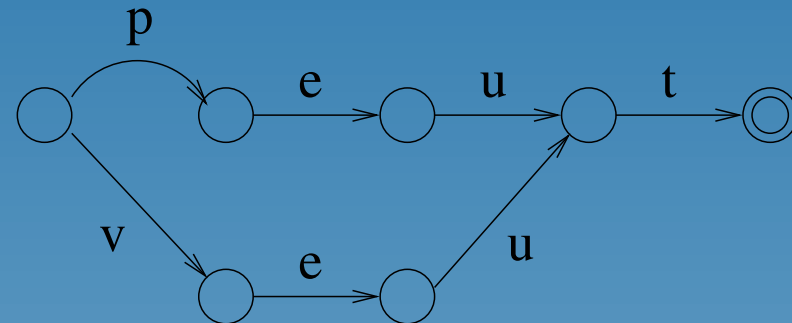
veux

Incr. constr. from unsorted data – examples

```

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```



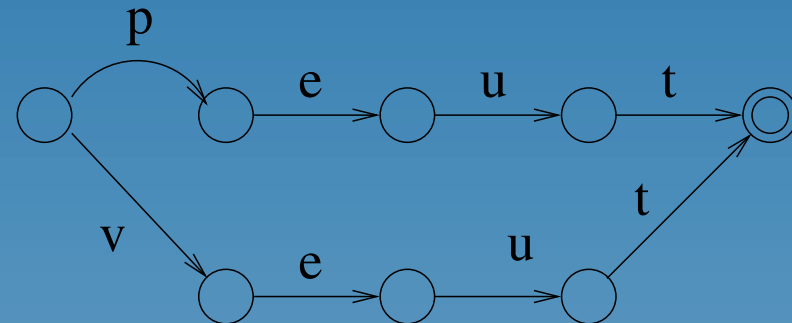
veux

Incr. constr. from unsorted data – examples

```

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```



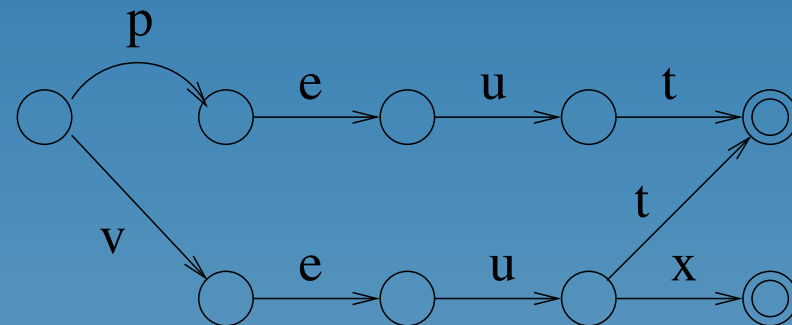
veux

Incr. constr. from unsorted data – examples

```

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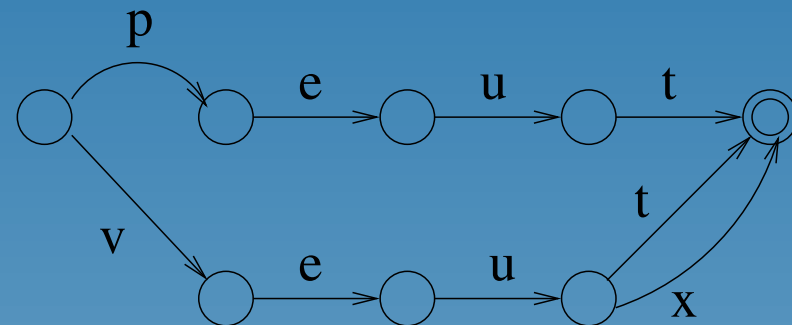
veux

Incr. constr. from unsorted data – examples

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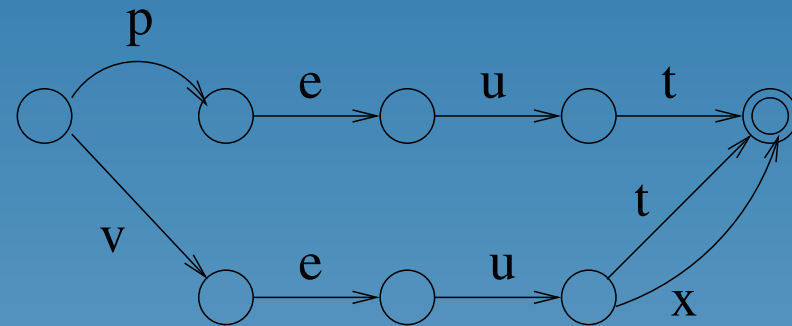
veux

Incr. constr. from unsorted data – examples

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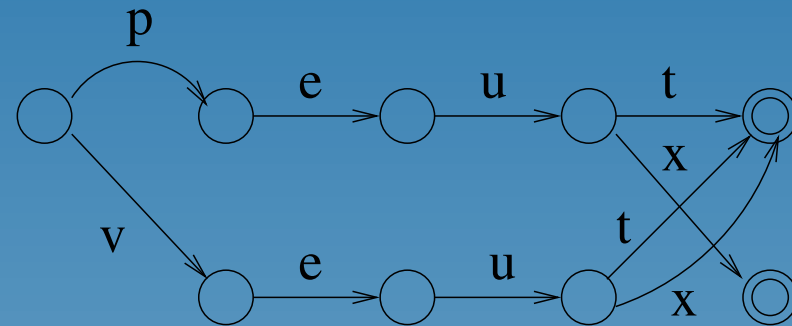
peux

Incr. constr. from unsorted data – examples

```

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3:       $s \leftarrow q_0; i \leftarrow 0; w \leftarrow \text{next word}; \text{push}(s, P);$ 
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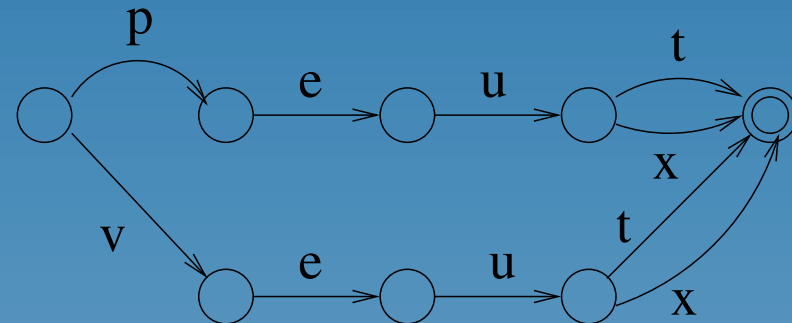
peux

Incr. constr. from unsorted data – examples

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16:       if  $\exists_{r \in R} r \equiv s$  then
17:         if  $i = u$  and  $i > 0$  then
            $R \leftarrow R \setminus \{\text{top}(P)\}; u \leftarrow u - 1;$ 
         end if;
18:          $\text{delete } s; \delta(\text{top}(P), w_i) \leftarrow r;$ 
19:       else
20:          $R \leftarrow R \cup \{s\};$ 
         if  $i = u$  then break; end if;
21:       end if;
22:        $i \leftarrow i - 1; s \leftarrow \text{pop}(P);$ 
23:     end while;
24:      $\text{reset } P;$ 
25:   end while;
26: end function;

```



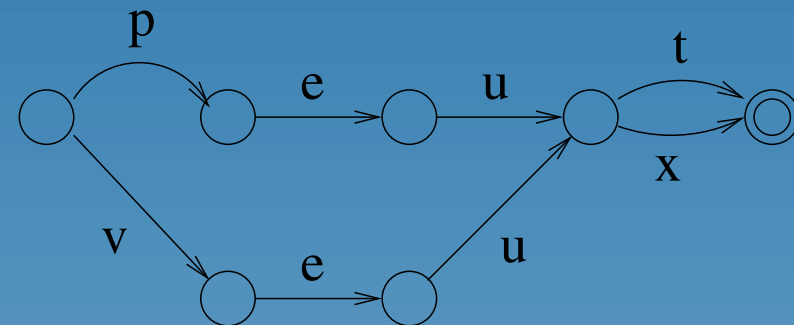
veux

Incr. constr. from unsorted data – examples

```

1:  function unsorted_construction;
2:    while input not empty do
3:       $s \leftarrow q_0; i \leftarrow 0; w \leftarrow \text{next word}; \text{push}(s, P);$ 
4:      while  $i \leq |w|$  and  $\delta(s, w_i) \neq \perp$ 
      and  $\text{fanin}(\delta(s, w_i)) \leq 1$  do
5:         $s \leftarrow \delta(s, w_i); \text{push}(s, P); i \leftarrow i + 1;$ 
6:      end while;
7:       $R \leftarrow R \setminus \{s\}; u \leftarrow i;$ 
8:      while  $i \leq |w|$  and  $\delta(s, w_i) \neq \perp$  do
9:         $\delta(s, w_i) \leftarrow \text{clone}(\delta(s, w_i)); s \leftarrow \delta(s, w_i);$ 
         $\text{push}(s, P); i \leftarrow i + 1;$ 
10:     end while;
11:     while  $i \leq |w|$  do
12:        $s \leftarrow \text{new state}; s \leftarrow \delta(s, w_i);$ 
        $\text{push}(s, P); i \leftarrow i + 1;$ 
13:     end while;
14:      $F \leftarrow F \cup \{s\}; \text{pop}(P);$ 
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23:     end while;
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```



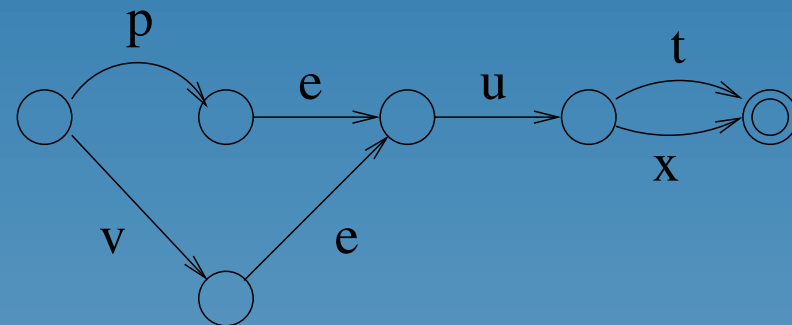
veux

Incr. constr. from unsorted data – examples

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23:     end while;
24:      $\text{reset } P;$ 
25:   end while;
26: end function;

```



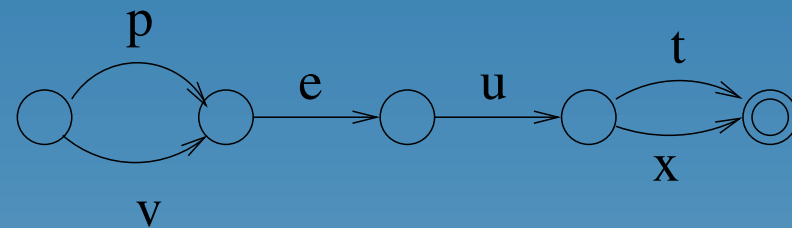
veux

Incr. constr. from unsorted data – examples

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23:     end while;
24:     reset  $P;$ 
25:   end while;
26: end function;

```



peux

Complexity and performance

- Both algorithms keep intermediate automata (almost) minimal
- Both run in time proportional to input data size
- The algorithm for sorted data is faster but less flexible
- Traditional algorithms are slower and use much more memory
- There are extensions of both algorithms to the case of adding words to a cyclic automaton